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Menelaos I. Karavelas — Elias P. Tsigaridas

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Guarding curvilinear art galleries with vertex or point guards

Menelaos I. Karavelas* †, Elias P. Tsigaridas‡ §

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Abstract: One of the earliest and most well known problems in computational geometry is the so-called *art gallery problem*. The goal is to compute the minimum possible number guards placed on the vertices of a simple polygon in such a way that they cover the interior of the polygon. We consider the problem of guarding an art gallery which is modeled as a polygon with curvilinear walls. Our main focus is on polygons the edges of which are convex arcs pointing towards the exterior or interior of the polygon (but not both), named piecewise-convex and piecewise-concave polygons. We prove that, in the case of piecewise-convex polygons, if we only allow vertex guards, $\lfloor \frac{4n}{7} \rfloor - 1$ guards are sometimes necessary, and $\lfloor \frac{2n}{3} \rfloor$ guards are always sufficient. Moreover, an $O(n \log n)$ time and O(n) space algorithm is described that produces a vertex guarding set of size at most $\lfloor \frac{2n}{3} \rfloor$. When we allow point guards the afore-mentioned lower bound drops down to $\lfloor \frac{n}{2} \rfloor$. In the special case of monotone piecewise-convex polygons we can show that $\lfloor \frac{n}{2} \rfloor + 1$ vertex or $\lfloor \frac{n}{2} \rfloor$ point guards are always sufficient and sometimes necessary. In the case of piecewise-concave polygons, we show that 2n-4 point guards are always sufficient and sometimes necessary, whereas it might not be possible to guard such polygons by vertex guards. We conclude with bounds for other types of curvilinear polygons and future work.

Key-words: art gallery, curvilinear polygons, vertex guards, point guards, piecewise-convex polygons, piecewise-concave polygons

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^{*} University of Crete, Department of Applied Mathematics, GR-714 09 Heraklion, Greece

[†] Foundation for Research and Technology - Hellas, Institute of Applied and Computational Mathematics P.O. Box 1385, GR-711 10 Heraklion, Greece

[‡] LORIA-INRIA Lorraine, Nancy, FRANCE

[§] The work on this project started while at INRIA Sophia-Antipolis.

Garder les galeries d'art curvilignes avec des gardes de sommet ou de point

Résumé : Un des plus anciens et plus célèbres problèmes en géométrie algorithmique est le problème dit de *galerie d'art* qui consiste à calculer le nombre minimal des gardians qui sont nécessaires afin de couvrir l'intérieur d'un polygone simple s'ils sont placés sur ses sommets.

Dans cet article, nous considérons le problème de garde d'une galerie d'art qui est modélisée par un polygone avec des murs courbés. En particulier, nous considérons des polygones dont les bords sont des arcs convexes qui se dirigent vers l'extérieur ou l'intérieur du polygone (mais pas les deux au même temps), appelés polygones par morceaux convexes ou concaves respectivement. Nous montrons que, dans le cas de polygones par morceaux convexes, si nous permettons seulement des gardians de sommets, alors $\lfloor \frac{4n}{7} \rfloor - 1$ gardians sont parfois nécessaires, et $\lfloor \frac{2n}{3} \rfloor$ gardians sont toujours suffisants. D'ailleurs, nous décrivons un algorithme, $O(n \log n)$ en temps et O(n) en espace, qui produit l'ensemble des sommets de garde de taille au plus $\lfloor \frac{2n}{3} \rfloor$. Dans le cas particulier de polygones monotones et par morceaux convexes nous pouvons montrer que $\lfloor \frac{n}{2} \rfloor + 1$ gardians de sommets ou $\lfloor \frac{n}{2} \rfloor$ gardians de points sont toujours suffisants et parfois nécessaires.

Dans le cas des polygones par morceaux concaves, nous prouvons que 2n-4 gardians de points sont toujours suffisantes et parfois nécessaires, tandis qu'il ne peut être possible de garder de tels polygones par des gardians de sommets. Nous concluons avec des limites pour d'autres types de polygones courbés et perspectives pour le futur.

Mots-clés : galerie d'art; polygones courbés, gardians de sommet, gardians de point, polygones par morceau convexes, polygones par morceau concaves

1 Introduction

Consider a simple polygon P with n vertices. How many points with omnidirectional visibility are required in order to see every point in the interior of P? This problem, known as the art gallery problem has been one of the earliest problems in Computational Geometry. Applications areas include robotics [21, 36], motion planning [24, 28], computer vision and pattern recognition [32, 37, 2, 33], graphics [26, 7], CAD/CAM [4, 15] and wireless networks [16]. In the late 1980's to mid 1990's interest moved from linear polygonal objects to curvilinear objects [35, 9, 11, 10] — see also the paper by Dobkin and Souvaine [13] that extends linear polygon algorithms to curvilinear polygons, as well as the recent book by Boissonnat and Teillaud [3] for a collection of results on non-linear computational geometry beyond art gallery related problems. In this context this paper addresses the classical art gallery problem for various classes of polygonal regions the edges of which are arcs of curves. To the best of our knowledge this is the first time that the art gallery problem is considered in this context.

The first results on the art gallery problem or its variations date back to the 1970's. Chvátal [8] was the first to prove that a simple polygon with n vertices can be always guarded with $\lfloor \frac{n}{3} \rfloor$ vertices; this bound is tight in the worst case. The proof by Chvátal was quite tedious and Fisk [18] gave a much simpler proof by means of triangulating the polygon and coloring its vertices using three colors in such a way so that every triangle in the triangulation of the polygon does not contain two vertices of the same color. The algorithm proposed by Fisk runs in O(T(n)+n) time, where T(n) is the time to triangulate a simple polygon. Following Chazelle's linear-time algorithm for triangulating a simple polygon [5, 6], the algorithm proposed by Fisk runs in O(n) time. Lee and Lin [22] showed that computing the minimum number of vertex guards for a simple polygon is NP-hard, which was extended to point guards by Aggarwal [1]. Soon afterwards other types of polygons were considered. Kahn, Klawe and Kleitman [19] showed that orthogonal polygons of size n, i.e., polygons with axesaligned edges, can be guarded with $\lfloor \frac{n}{4} \rfloor$ vertex guards, which is also a lower bound. Several O(n) algorithms have been proposed for this variation of the problem, notably by Sack [30], who gave the first such algorithm, and later on by Lubiw [25]. Edelsbrunner, O'Rourke and Welzl [14] gave a linear time algorithm for guarding orthogonal polygons with $\lfloor \frac{n}{4} \rfloor$ point guards.

Beside simple polygons and simple orthogonal polygons, polygons with holes, and orthogonal polygons with holes have been investigated. As far as the type of guards is concerned, *edge guards* and *mobile guards* have been considered. An edge guard is an edge of the polygon, and a point is visible from it if it is visible from at least one point on the edge; mobile guards are essentially either edges of the polygon, or diagonals of the polygon. Other types of guarding problems have also been studied in the literature, notably, the *fortress problem* (guard the exterior of the polygon against enemy raids) and the *prison yard problem* (guard both the interior and the exterior of the polygon which represents a prison: prisoners must be guarded in the interior of the prison and should not be allowed to escape out of the prison). For a detailed discussion of these variations and the corresponding results the interested reader should refer to the book by O'Rourke [29], the survey paper by Shermer [31] and the book chapter by Urrutia [34].

In this paper we consider the original problem, that is the problem of guarding a simple polygon. We are primarily interested in the case of vertex guards, although results about point guards are also

described. In our case, polygons are not required to have linear edges. On the contrary we consider polygons that have smooth curvilinear edges. Clearly, these problems are NP-hard, since they are direct generalizations of the corresponding original art gallery problems. In the most general setting where we impose no restriction on the type of edges of the polygon, it is very easy to see that there exist curvilinear polygons that cannot be guarded with vertex guards, or require an infinite number of point guards (see Fig. 23(b)). Restricting the edges of the polygon to be locally convex curves, pointing towards the exterior of the polygon (i.e., the polygon is a locally convex set, except possibly at the vertices) we can construct polygons that require a minimum of n vertex or point guards, where n is the number of vertices of the polygon (see Fig. 23(a)); in fact such polygons can always be guarded with their n vertices. The main focus of this paper is the class of polygons that are either locally convex or locally concave (except possibly at the vertices), the edges of which are convex arcs; we call such polygons piecewise-convex and piecewise-concave polygons, respectively.

For the first class of polygons we show that it is always possible to guard them with $\lfloor \frac{2n}{3} \rfloor$ vertex guards, where n is the number of polygon vertices. On the other hand we describe families of piecewise-convex polygons that require a minimum of $\lfloor \frac{4n}{7} \rfloor - 1$ vertex guards and $\lfloor \frac{n}{2} \rfloor$ point guards. Aside from the combinatorial complexity type of results, we describe an $O(n \log n)$ time and O(n)space algorithm which, given a piecewise-convex polygon, computes a guarding set of size at most $\left|\frac{2n}{3}\right|$. Our algorithm should be viewed as a generalization of Fisk's algorithm [18]; in fact, when applied to polygons with linear edges, it produces a guarding set of size at most $\lfloor \frac{n}{2} \rfloor$. For the purposes of our complexity analysis and results, we assume, throughout the paper, that the curvilinear edges of our polygons are arcs of algebraic curves of constant degree; as a result all predicates required by the algorithms described in this paper take O(1) time in the Real RAM computation model. The central idea for both obtaining the upper bound as well as for designing our algorithm is to approximate the piecewise-convex polygon by a linear polygon (a polygon with line segments as edges). Additional auxiliary vertices are added on the boundary of the curvilinear polygon in order to achieve this. The resulting linear polygon has the same topology as the original polygon and captures the essentials of the geometry of the piecewise-convex polygon; for obvious reasons we term this linear polygon the polygonal approximation. Once the polygonal approximation has been constructed, we compute a guarding set for it by applying a slight modification of Fisk's algorithm [18]. The guarding set just computed for the polygonal approximation turns out to be a guarding set for the original curvilinear polygon. The final step of both the proof and our algorithm consists in mapping the guarding set of the polygonal approximation to another vertex guarding set consisting of vertices of the original polygon only.

If we further restrict ourselves to monotone piecewise-convex polygons, i.e., piecewise-convex polygons that have the property that there exists a line L, such that any line L^{\perp} perpendicular to L intersects the polygon at most twice, we can show that $\lfloor \frac{n}{2} \rfloor + 1$ vertex or $\lfloor \frac{n}{2} \rfloor$ point guards are always sufficient and sometimes necessary. Such a line L can be computed in O(n) time (cf. [13]). Given L, it is very easy to compute a vertex guarding set of size $\lfloor \frac{n}{2} \rfloor + 1$, or a point guarding set of size $\lfloor \frac{n}{2} \rfloor$: the problem of computing such a guarding set essentially reduces to merging two sorted arrays, thus taking O(n) time and O(n) space. This result should be contrasted against the case of monotone linear polygons where the corresponding upper and lower bound on the number of vertex or point guards required to guard the polygon matches that of general (i.e., not necessarily monotone) linear

polygons. In other words, monotonicity seems to play a crucial role in the case of piecewise-convex polygons, which is not the case for linear polygons.

For the second class of polygons, i.e., the class of piecewise-concave polygons, vertex guards may not be sufficient in order to guard the interior of the polygon (see Fig. 22(a)). We thus turn our attention to point guards, and we show that 2n-4 point guards are always sufficient and sometimes necessary. Our method for showing the sufficiency result is similar to the technique used to illuminate sets of disjoint convex objects on the plane [17]. Given a piecewise-concave polygon P, we construct a new locally concave polygon Q, contained inside P, and such that the tangencies between edges of Q are maximized. The problem of guarding P then reduces to the problem of guarding Q, which essentially consists of a number of faces with pairwise disjoint interiors. The faces of Q require, each, two point guards in order to be guarded, and are in 1–1 correspondence with the triangles of an appropriately defined triangulation graph T(R) of a polygon R with n vertices. Thus the number point guards required to guard P is at most two times the number of faces of T(R), i.e., 2n-4.

The rest of the paper is structured as follows. In Section 2 we introduce some notation and provide various definitions. In Section 3 we present our algorithm for computing a guarding set, of size $|\frac{2n}{3}|$, for a piecewise-convex polygon with n vertices. Section 3 is further subdivided into five subsections. In Subsection 3.1 we define the polygonal approximation of our curvilinear polygon and prove some geometric and combinatorial properties. In Subsection 3.2 we show how to construct a, properly chosen, constrained triangulation of the polygonal approximation. In Subsection 3.3 we describe how to compute the guarding set for the original curvilinear polygon from the guarding set of the polygonal approximation due to Fisk's algorithm and prove the upper bound on the cardinality of the guarding set. In Subsection 3.4 we show how to compute the guarding set in $O(n \log n)$ time and O(n) space. Finally, in Subsection 3.5 is devoted to the presentation of the family of polygons that attains the lower bound of $\lfloor \frac{4n}{7} \rfloor - 1$ vertex guards. The special case of guarding monotone piecewise-convex polygons is discussed in Section 4. We show that $\lfloor \frac{n}{2} \rfloor + 1$ vertex (or $\lfloor \frac{n}{2} \rfloor$ point) guards are always necessary and sometimes sufficient, and present an O(n) time and O(n) space algorithm for computing such a guarding set. In Section 5 we present our results for piecewiseconcave polygons, namely, that 2n-4 point guards are always necessary and sometimes sufficient for this class of polygons. Section 6 contains further results. More precisely, we present bounds for locally convex polygons, monotone locally convex polygons and general polygons. The final section of the paper, Section 7, summarizes our results and discusses open problems.

2 Definitions

Curvilinear arcs. Let S be a sequence of points v_1, \ldots, v_n and E a set of curvilinear arcs a_1, \ldots, a_n , such that a_i has as endpoints the points v_i and v_{i+1} . We will assume that the arcs a_i and a_j , $i \neq j$, do not intersect, except when j = i - 1 or j = i + 1, in which case they intersect only at the points v_i and v_{i+1} , respectively. We define a *curvilinear polygon* P to be the closed region delimited by the arcs a_i . The points v_i are called the vertices of P. An arc a_i is a *convex arc*

¹Indices are considered to be evaluated modulo n.

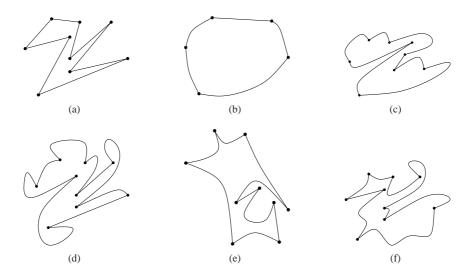


Figure 1: Different types of curvilinear polygons: (a) a linear polygon, (b) a convex polygon, (c) a piecewise-convex polygon, (d) a locally convex polygon, (e) a piecewise-concave polygon and (f) a general polygon.

if every line on the plane intersects a_i at either at most two points or along a linear segment. If q is a point in the interior of a_i , an ε -neighborhood $n_{\varepsilon}(q)$ of q is defined to be the intersection of a_i with a disk centered at q with radius ε . An arc a_i is a locally convex arc if for every point q in the interior of a_i , there exists an ε_q such that for every $0<\varepsilon\leq\varepsilon_q$, the ε -neighborhood of q lies entirely in one of the two halfspaces defined by the line ℓ tangent to a_i at q; note that if ℓ is not uniquely defined, then the containment-in-halfspace property mentioned just above has to hold for any such line ℓ . Finally, note that a convex arc is also a locally convex arc.

Our definition does not really require that the arcs a_i are smooth. In fact the arcs a_i can be polylines, in which case the results presented in this paper are still valid. What might be different, however, is our complexity analyses, since we have assumed that the a_i 's have constant complexity. In the remainder of this paper, and unless otherwise stated, we will assume that the arcs a_i are G^1 -continuous and have constant complexity.

Curvilinear polygons. A polygon P is a linear polygon if its edges are line segments (see Fig. 1(a)). A polygon P consisting of curvilinear arcs as edges is called a convex polygon if every line on the plane intersects its boundary at either at most two points or along a line segment (see Fig. 1(b)). A polygon is called a piecewise-convex polygon, if every arc is a convex arc and for every point q in the interior of an arc a_i of the polygon, the interior of the polygon is locally on the same side as the arc a_i with respect to the line tangent to a_i at q (see Fig. 1(c)). A polygon is called a locally convex polygon if the boundary of the polygon is a locally convex curve, except possibly at its vertices (see Fig. 1(d)). Note that a convex polygon is a piecewise-convex polygon and that a piecewise-convex

polygon is also a locally convex polygon. A polygon P is called a *piecewise-concave polygon*, if every arc of P is convex and for every point q in the interior of a non-linear arc a_i , the interior of P lies locally on both sides of the line tangent to a_i at q (see Fig. 1(e)). Finally, a polygon is said to be a *general polygon* if we impose no restrictions on the type of its edges (see Fig. 1(f)). We will use the term *curvilinear polygon* to refer to a polygon the edges of which are either line or curve segments.

Guards and guarding sets. In our setting, a guard or point guard is a point in the interior or on the boundary of a curvilinear polygon P. A guard of P that is also a vertex of P is called a vertex guard. We say that a curvilinear polygon P is guarded by a set G of guards if every point in P is visible from at least one point in G. The set G that has this property is called a guarding set for P. A guarding set that consists solely of vertices of P is called a vertex guarding set.

3 Piecewise-convex polygons

In this section we present an algorithm which, given a piecewise-convex polygon P of size n, it computes a vertex guarding set G of size $\lfloor \frac{2n}{3} \rfloor$. The basic steps of the algorithm are as follows:

- 1. Compute the polygonal approximation \tilde{P} of P.
- 2. Compute a constrained triangulation $\mathcal{T}(\tilde{P})$ of \tilde{P} .
- 3. Compute a guarding set $G_{\tilde{P}}$ for \tilde{P} , by coloring the vertices of $\mathcal{T}(\tilde{P})$ using three colors.
- 4. Compute a guarding set G_P for P from the guarding set $G_{\tilde{P}}$.

3.1 Polygonalization of a piecewise-convex polygon

Let a_i be a convex arc with endpoints v_i and v_{i+1} . We call the convex region r_i delimited by a_i and the line segment v_iv_{i+1} a room. A room is called degenerate if the arc a_i is a line segment. A line segment pq, where $p,q \in a_i$ is called a *chord*, and the region delimited by the chord pq and a_i is called a *sector*. The chord of a room r_i is defined to be the line segment v_iv_{i+1} connecting the endpoints of the corresponding arc a_i . A degenerate sector is a sector with empty interior. We distinguish between two types of rooms (see Fig. 2):

- 1. *empty rooms*: these are non-degenerate rooms that do not contain any vertex of P in the interior of r_i or in the interior of the chord $v_i v_{i+1}$.
- 2. *non-empty rooms*: these are non-degenerate rooms that contain at least one vertex of P in the interior of r_i or in the interior of the chord $v_i v_{i+1}$.

In order to polygonalize P we are going to add new vertices in the interior of non-linear convex arcs. To distinguish between the two types of vertices, the n vertices of P will be called *original* vertices, whereas the additional vertices will be called *auxiliary* vertices.

More specifically, for each empty room r_i we add a vertex $w_{i,1}$ (anywhere) in the interior of the arc a_i (see Fig. 3). For each non-empty room r_i , let X_i be the set of vertices of P that lie in the

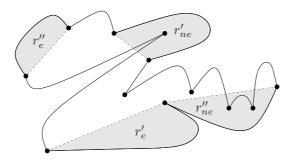


Figure 2: The two types of rooms in a piecewise-convex polygon: r'_e and r''_e are empty rooms, whereas r'_{ne} and r''_{ne} are non-empty rooms.

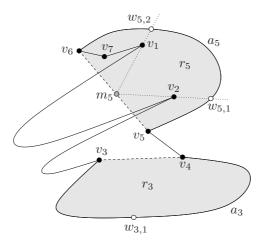


Figure 3: The auxiliary vertices (white points) for rooms r_3 (empty) and r_5 (non-empty). $w_{3,1}$ is a point in the interior of a_3 . m_5 is the midpoint of v_5 and v_6 , whereas $w_{5,1}$ and $w_{5,2}$ are the intersections of the lines m_5v_2 and m_5v_1 with the arc a_5 , respectively. In this example $R_5 = \{v_1, v_2, v_7\}$, whereas $C_5^* = \{v_1, v_2\}$.

interior of the chord v_iv_{i+1} of r_i , and R_i be the set of vertices of P that are contained in the interior of r_i or belong to X_i (by assumption $R_i \neq \emptyset$). If $R_i \neq X_i$, let C_i be the set of vertices on the convex hull of the vertex set $(R_i \setminus X_i) \cup \{v_i, v_{i+1}\}$; if $R_i = X_i$, let $C_i = X_i \cup \{v_i, v_{i+1}\}$. Finally, let $C_i^* = C_i \setminus \{v_i, v_{i+1}\}$. Clearly, v_i and v_{i+1} belong to the set C_i and, furthermore, $C_i^* \neq \emptyset$.

Let m_i be the midpoint of v_iv_{i+1} and $\ell_i^\perp(p)$ the line perpendicular to v_iv_{i+1} passing through a point p. If $C_i^* \neq X_i$, then, for each $v_k \in C_i^*$, let w_{i,j_k} , $1 \leq j_k \leq |C_i^*|$, be the (unique) intersection

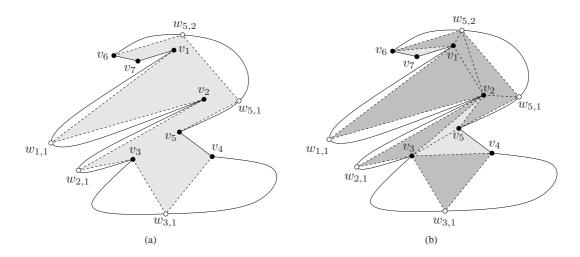


Figure 4: (a) The polygonal approximation \tilde{P} , shown in gray, of the piecewise-convex polygon P with vertices v_i , $i=1,\ldots,7$. (b) The constrained triangulation $\mathcal{T}(\tilde{P})$ of \tilde{P} . The dark gray triangles are the constrained triangles. The polygonal region $v_5w_{5,1}w_{5,2}v_6v_1v_2v_5$ is a crescent. The triangles $w_{5,1}v_2v_5$ and $v_1w_{5,2}v_6$ are boundary crescent triangles. The triangle $v_2w_{5,2}v_1$ is an upper crescent triangle, whereas the triangle $v_2w_{5,1}w_{5,2}$ is a lower crescent triangle.

of the line $m_i v_k$ with the arc a_i ; if $C_i^* = X_i$, then, for each $v_k \in C_i^*$, let w_{i,j_k} , $1 \le j_k \le |C_i^*|$, be the (unique) intersection of the line $\ell_i^{\perp}(v_k)$ with the arc a_i .

Now consider the sequence \tilde{S} of the original vertices of P augmented by the auxiliary vertices added to empty and non-empty rooms; the order of the vertices in \tilde{S} is the order in which we encounter them as we traverse the boundary of P in the counterclockwise order. The linear polygon defined by the sequence \tilde{S} of vertices is denoted by \tilde{P} (see Fig. 4(a)). It is easy to show that:

Lemma 1 The linear polygon \tilde{P} is a simple polygon.

Proof. It suffices show that the line segments replacing the curvilinear segments of P do not intersect other edges of P or \tilde{P} .

Let r_i be an empty room, and let $w_{i,1}$ be the point added in the interior of a_i . The interior of the line segments $v_iw_{i,1}$ and $w_{i,1}v_{i+1}$ lie in the interior of r_i . Since P is a piecewise-convex polygon, and r_i is an empty room, no edge of P could potentially intersect $v_iw_{i,1}$ or $w_{i,1}v_{i+1}$. Hence replacing a_i by the polyline $v_iw_{i,1}v_{i+1}$ gives us a new piecewise-convex polygon.

Let r_i be a non-empty room. Let $w_{i,1},\ldots,w_{i,K_i}$ be the points added on a_i , where K_i is the cardinality of C_i^* . By construction, every point $w_{i,k}$ is visible from $w_{i,k+1}$, $k=1,\ldots K_i-1$, and every point $w_{i,k}$ is visible from $w_{i,k-1}$, $k=2,\ldots K_i$. Moreover, $w_{i,1}$ is visible from v_i and w_{i,K_i} is visible from v_{i+1} . Therefore, the interior of the segments in the polyline $v_i w_{i,1} \ldots w_{i,K_i} v_{i+1}$

lie in the interior of r_i and do not intersect any arc in P. Hence, substituting a_i by the polyline $v_i w_{i,1} \dots w_{i,K_i} v_{i+1}$ gives us a new piecewise-convex polygon.

As a result, the linear polygon \tilde{P} is a simple polygon.

We call the linear polygon \tilde{P} , defined by \tilde{S} , the *straight-line polygonal approximation* of P, or simply the *polygonal approximation* of P. An obvious result for \tilde{P} is the following:

Corollary 2 If P is a piecewise-convex polygon the polygonal approximation \tilde{P} of P is a linear polygon that is contained inside P.

We end this section by proving a tight upper bound on the size of the polygonal approximation of a piecewise-convex polygon. We start by stating and proving an intermediate result, namely that the sets C_i^* are pairwise disjoint.

Lemma 3 Let i, j, with $1 \le i < j \le n$. Then $C_i^* \cap C_j^* = \emptyset$.

Proof. If one of the rooms r_i and r_j is a degenerate or an empty room, the result is obvious.

Consider two non-empty rooms r_i and r_j . For simplicity of presentation we assume that $R_i \neq X_i$ and $R_j \neq X_j$; the proof easily carries on to the case $R_i = X_i$ or $R_j = X_j$.

Suppose that there exists a vertex $u \in P$ that is contained in $C_i^* \cap C_j^*$. Let v_i , v_{i+1} , and v_j , v_{j+1} be the endpoints of the arcs a_i and a_j , and m_i , m_j the midpoints of the chords v_iv_{i+1} , v_jv_{j+1} , respectively. Let u_i be the intersection of the line m_iu with the convex arc a_i and u_j be the intersection of the line m_ju with the convex arc a_j , respectively. Consider the following cases.

 $v_j, v_{j+1} \not\in R_i, v_i, v_{i+1} \not\in R_j$. This is the easy case (see Fig. 5). Since $u \in C_i^* \cap C_j^*$ we have that $r_i \cap r_j \neq \emptyset$. Moreover, it is either the case that a_j intersects the chord $v_i v_{i+1}$ or a_i intersects the chord $v_j v_{j+1}$. Without loss of generality we can assume that a_j intersects the chord $v_i v_{i+1}$. In this case the boundary of $r_i \cap r_j$ that lies in the interior of r_i is a subarc of a_j . But then the segment uu_i has to intersect a_j , which contradicts the fact that $u \in C_i^*$.

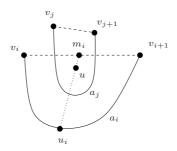


Figure 5: Proof of Lemma 3. The case $v_j, v_{j+1} \notin R_i, v_i, v_{i+1} \notin R_j$.

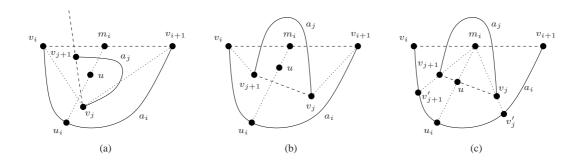


Figure 6: Proof of Lemma 3. The case $v_j, v_{j+1} \in R_i$. (a) the chord $v_j v_{j+1}$ intersects the interior of uu_i and u is contained inside the triangle $v_i v_{i+1} v_j$. (b) the chord $v_j v_{j+1}$ intersects the interior of uu_i and u is contained inside the convex quadrilateral $v_i v_{i+1} v_j v_{j+1}$. (c) the chord $v_j v_{j+1}$ intersects uu_i at u.

- $v_j, v_{j+1} \in R_i$. Since u belongs to C_i^* , the line segment uu_i cannot contain any vertices of P and it cannot intersect any edge of P (since otherwise u would not belong to C_i^*). For this reason, and since u belongs to C_j^* , uu_i has to intersect the chord of r_j . We distinguish between the following two cases (see Fig. 6):
 - 1. The chord v_jv_{j+1} intersects the interior of uu_i . Depending on whether the supporting line of v_jv_{j+1} intersects the chord v_iv_{i+1} of r_i or not, u will be either contained in the interior of one of the triangles $v_iv_{i+1}v_j$ and $v_iv_{i+1}v_{j+1}$ (this happens if the supporting line of v_jv_{j+1} intersects v_iv_{i+1} see Fig. 6(a)), or inside the convex quadrilateral $v_iv_{i+1}v_jv_{j+1}$ (this happens if the supporting line of v_jv_{j+1} does not intersect v_iv_{i+1} see Fig. 6(b)). In either case, u is in the interior of a convex polygon, the vertices of which are in $R_i \cup \{v_i, v_{i+1}\}$, and, thus, it cannot belong to C_i^* , hence a contradiction.
 - 2. The chord v_jv_{j+1} intersects uu_i at u. We can assume without loss of generality that v_{i+1}, v_j are to the right and v_i, v_{j+1} to the left of the oriented line u_iu (see Fig. 6(c)). Notice that both v_j and v_{j+1} have to belong to C_i^* , since otherwise u would not belong to C_i^* . Let v_j' and v_{j+1}' be the intersections of the lines m_iv_j and m_iv_{j+1} with a_i . Consider the path π from u to v_i on the boundary ∂P of P, that does not contain the edge a_j . π has to intersect either the interior of the line segment v_jv_j' or the interior of the line segment $v_{j+1}v_{j+1}'$; either case yields a contradiction with the fact that both v_j and v_{j+1} belong to C_i^* .

 $v_i, v_{i+1} \in R_j$. This case is symmetric to the previous one.

 $|\{v_j, v_{j+1}\} \cap R_i| = 1$. Without loss of generality we may assume that $v_j \in R_i$ and $v_{j+1} \notin R_i$. Consider the following two cases (see Fig. 7):

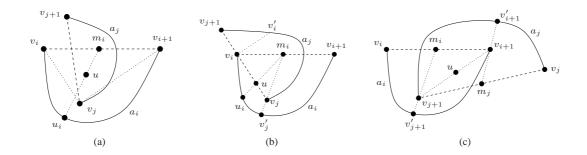


Figure 7: Proof of Lemma 3. The case $|\{v_j, v_{j+1}\} \cap R_i| = 1$. (a) the chord $v_j v_{j+1}$ intersects the chord $v_i v_{i+1}$ and $v_j v_{j+1}$ intersects the interior of $v_i v_{i+1}$. (b) the chord $v_j v_{j+1}$ intersects the chord $v_i v_{i+1}$ and $v_j v_{j+1}$ intersects $v_i v_{i+1}$ at v_i . (c) the chord $v_j v_{j+1}$ intersects a_i .

- 1. The chord $v_j v_{j+1}$ intersects the chord $v_i v_{i+1}$. If $v_j v_{j+1}$ intersects the interior of $v_i v_{i+1}$ (see Fig. 7(a)), then u has to lie in the interior of the triangle $v_i v_{i+1} v_j$, which contradicts the fact that $u \in C_i^*$.
 - Suppose now that v_jv_{j+1} intersects one of the endpoints of v_iv_{i+1} , and let us assume that this endpoint is v_i (see Fig. 7(b)). u has to lie in the interior of v_iv_j , since otherwise it would have been in the interior of the triangle $v_iv_{i+1}v_j$, which contradicts the fact that $u \in C_i^*$. Moreover, v_i (resp., v_j) has to belong to R_j (resp., R_i), since otherwise $u \notin C_j^*$ (resp., $u \notin C_i^*$). Let v_j' be the intersection of m_iv_j with a_i and v_i' be the intersection with a_j of the line perpendicular to v_jv_{j+1} at v_i . Consider the paths π_1 and π_2 on ∂P from u to v_{i+1} and v_{j+1} , respectively. One of these two paths has to intersect either the interior of the line segment v_iv_i' or the interior of line segment v_jv_j' ; either case yields a contradiction with the fact that v_i belongs to C_i^* and v_j belongs to C_i^* .
- 2. The chord v_jv_{j+1} intersects the edge a_i . In this case we also have that either $v_i \in R_j$ or $v_{i+1} \in R_j$, but not both. Without loss of generality we may assume that $v_{i+1} \in R_j$ (see Fig. 7(c)). Since u belongs to both C_i^* and C_j^* , it has to lie on the line segment $v_{i+1}v_{j+1}$. Moreover, v_{j+1} (resp., v_{i+1}) has to belong to C_i^* (resp., C_j^*), since otherwise u would not belong to C_i^* (resp., C_j^*). Let v_{i+1}' and v_{j+1}' be the intersections of the lines m_jv_{i+1} and m_iv_{j+1} with the arcs a_j and a_i , respectively. Consider the paths π_1 and π_2 on ∂P from u to v_i and v_j , respectively. One of these two paths has to intersect either the interior of the line segment $v_{i+1}v_{i+1}'$ or the interior of the line segment $v_{j+1}v_{j+1}'$. In the former case, we get a contradiction with the fact that v_{i+1} belongs to C_j^* ; in the latter case we get a contradiction with the fact that v_{j+1} belongs to C_i^* .

 $|\{v_i,v_{i+1}\}\cap R_j|=1$. This case is symmetric to the previous one.

An immediate consequence of Lemma 3 is the following corollary that gives us a tight bound on the size of the polygonal approximation \tilde{P} of P.

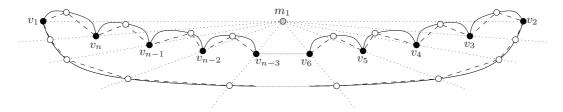


Figure 8: A piecewise-convex polygon P of size n (solid curve), the polygonal approximation \tilde{P} of which consists of 3n-3 vertices (dashed polyline).

Corollary 4 If n is the size of a piecewise-convex polygon P, the size of its polygonal approximation \tilde{P} is at most 3n. This bound is tight (up to a constant).

Proof. Let a_i be a convex arc of P, and let r_i be the corresponding room. If a_i is an empty room, then \tilde{P} contains one auxiliary vertex due to a_i . Hence \tilde{P} contains at most n auxiliary vertices attributed to empty rooms in P. If a_i is a non-empty room, then \tilde{P} contains $|C_i^*|$ auxiliary vertices due to a_i . By Lemma 3 the sets C_i^* , $i=1,\ldots,n$ are pairwise disjoint, which implies that $\sum_{i=1}^n |C_i^*| \leq |P| = n$. Therefore \tilde{P} contains the n vertices of P, contains at most n vertices due to empty rooms in P and at most n vertices due to non-empty rooms in P. We thus conclude that the size of \tilde{P} is at most 3n.

The upper bound of the paragraph above is tight up to a constant. Consider the piecewise-convex polygon P of Fig. 8. It consists of n-1 empty rooms and one non-empty room r_1 , such that $|C_1^*|=n-2$. It is easy to see that $|\tilde{P}|=3n-3$.

3.2 Triangulating the polygonal approximation

Let P be a piecewise-convex polygon and \tilde{P} is its polygonal approximation. We are going to construct a *constrained triangulation* of \tilde{P} , i.e., we are going to triangulate \tilde{P} , while enforcing some triangles to be part of this triangulation. Let $P^{\alpha} = \tilde{P} \setminus P$ be the set of auxiliary vertices in \tilde{P} . The main idea behind the way this particular triangulation is constructed is to enforce that:

- 1. all triangles of $\mathcal{T}(\tilde{P})$, that contain a vertex in P^{α} , also contain at least one vertex of P, i.e., no triangles contain only auxiliary vertices,
- 2. every vertex in P^{α} belongs to at least one triangle in $\mathcal{T}(\tilde{P})$ the other two vertices of which are both vertices of P, and
- 3. the triangles of $\mathcal{T}(\tilde{P})$ that contain vertices of \tilde{P} can be guarded by vertices of P.

These properties are going to be exploited in Step 4 of the algorithm presented in Section 3.

More precisely, we are going to enforce the way the triangles of $\mathcal{T}(P)$ are created in the neighborhoods of the vertices in P^{α} . By enforcing the triangles in these neighborhoods, we effectively

triangulate parts of \tilde{P} . The remaining untriangulated parts of \tilde{P} consist of one of more disjoint polygons, which can then be triangulated by means of any $O(n\log n)$ polygon triangulation algorithm. In other words, the triangulation of \tilde{P} that we want to construct is a constrained triangulation, in the sense that we pre-specify some of the edges of the triangulation. In fact, as we will see below we pre-specify triangles, rather than edges, which are going to be referred to as *constrained triangles*.

Let us proceed to define the constrained triangles in $\mathcal{T}(P)$. If r_i is an empty room, and $w_{i,1}$ is the point added on a_i , add the edges v_iv_{i+1} , $v_iw_{i,1}$ and $w_{i,1}v_{i+1}$, thus formulating the constrained triangle $v_iw_{i,1}v_{i+1}$ (see Fig. 4(b)). If r_i is a non-empty room, $\{c_1,\ldots,c_{K_i}\}$ the vertices in C_i^* , $K_i = |C_i^*|$, and $\{w_{i,1},\ldots,w_{i,K_i}\}$ the vertices added on a_i , add the following edges, if they do not already exist:

- 1. $c_k, c_{k+1}, k = 1, ..., K_i 1; v_i c_1; c_{K_i} v_{i+1};$
- 2. $c_i w_{i,k}, k = 1, \ldots, K_i$;
- 3. $c_i w_{i,k+1}, k = 1, \dots, K_i 1;$
- 4. $w_{i,k}, w_{i,k+1}, k = 1, \dots, K_i 1; v_i w_{i,1}; w_{i,K_i} v_{i+1}.$

These edges formulate $2K_i$ constrained triangles, namely, $c_kc_{k+1}w_{i,k+1}$, $k=1,\ldots,K_i-1$, $c_kw_{i,k}w_{i,k+1}$, $k=1,\ldots,K_i-1$, $v_ic_1w_{i,1}$ and $v_{i+1}c_{K_i}w_{i,K_i}$. We call the polygonal region delimited by these triangles a crescent. The triangles $v_ic_1w_{i,1}$ and $v_{i+1}c_{K_i}w_{i,K_i}$ are called boundary crescent triangles, the triangles $c_kc_{k+1}w_{i,k+1}$, $k=1,\ldots,K_i-1$ are called upper crescent triangles and the triangles $c_kw_{i,k}w_{i,k+1}$, $k=1,\ldots,K_i-1$ are called lower crescent triangles.

Note that almost all points in P^{α} belong to exactly one triangle the other two points of which are in P; the only exception are the points w_{i,K_i} which belong to exactly two such triangles.

As we have already mentioned, having created the constrained triangles mentioned above, there may exist additional possibly disjoint polygonal non-triangulated regions of \tilde{P} . The triangulation procedure continues by triangulating these additional polygonal non-triangulated regions; any $O(n \log n)$ polygon triangulation algorithm may be used.

3.3 Computing a guarding set for the original polygon

To compute a guarding set for P we will perform the following two steps:

- 1. Compute a guarding set $G_{\tilde{P}}$ for \tilde{P} .
- 2. From the guarding set $G_{\tilde{P}}$ for \tilde{P} compute a guarding set G_P for P of size at most $\lfloor \frac{2n}{3} \rfloor$, consisting of vertices of P only.

Assume that we have colored the vertices of \tilde{P} with three colors, so that every triangle in $T(\tilde{P})$ does not contain two vertices of the same color. This can be easily done by the standard three-coloring algorithm for linear polygons presented in [27, 18]. Let red, green and blue be the three colors, and let K_A be the set of vertices of red color, Π_A be the set of vertices of green color and M_A be the set of vertices of blue color in a subset A of \tilde{P} . Clearly, all three sets $K_{\tilde{P}}$, $\Pi_{\tilde{P}}$ and $M_{\tilde{P}}$ are guarding sets for \tilde{P} . In fact, they are also guarding sets for P, as the following theorem suggests (see also Fig. 9).

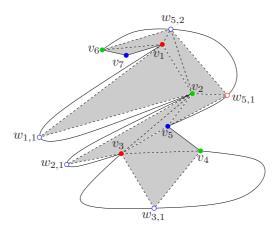


Figure 9: The three guarding sets for \tilde{P} , are also guarding sets for P, as Theorem 5 suggests.

Theorem 5 Each one of the sets $K_{\tilde{P}}$, $\Pi_{\tilde{P}}$ and $M_{\tilde{P}}$ is a guarding set for P.

Proof. Let $G_{\tilde{P}}$ be one of $K_{\tilde{P}}$, $\Pi_{\tilde{P}}$ and $M_{\tilde{P}}$. By construction, $G_{\tilde{P}}$ guards all triangles in $\mathcal{T}(\tilde{P})$. To show that $G_{\tilde{P}}$ is a guarding set for P, it suffices to show that $G_{\tilde{P}}$ also guards the non-degenerate sectors defined by the edges of \tilde{P} and the corresponding convex subarcs of P.

Let s_i be a non-degenerate sector associated with the convex arc a_i . We consider the following two cases:

- 1. The room r_i is an empty room. Then s_i is adjacent to the triangle $v_i w_{i,1} v_{i+1}$ of $\mathcal{T}(\tilde{P})$. Note that since a_i is a convex arc, all three points v_i , v_{i+1} and $w_{i,1}$ guard s_i . Since one of them has to be in $G_{\tilde{P}}$, we conclude that $G_{\tilde{P}}$ guards s_i .
- 2. The room r_i is a non-empty room. Then s_i is adjacent to either a boundary crescent triangle or a lower crescent triangle in $\mathcal{T}(\tilde{P})$. Let T be this triangle, and let x, y and z be its vertices. Since a_i is a convex arc, all three x, y and z guard s_i . Therefore, since one of the three vertices x, y and z is in $G_{\tilde{P}}$, we conclude that $G_{\tilde{P}}$ guards s_i .

Therefore every non-degenerate sector in P^{α} is guarded by at least one vertex in $G_{\tilde{P}}$, which implies that $G_{\tilde{P}}$ is a guarding set for P.

Let as now assume, without loss of generality that, among K_P , Π_P and M_P , K_P has the smallest cardinality and that Π_P has the second smallest cardinality, i.e., $|K_P| \leq |\Pi_P| \leq |M_P|$. We are going to define a mapping f from K_{P^α} to the power set 2^{Π_P} of Π_P . Intuitively, f maps a vertex x in K_{P^α} to all the neighboring vertices of x in $\mathcal{T}(\tilde{P})$ that belong to Π_P . We are going to give a more precise definition of f below (consult Fig. 10). Let $x \in K_{P^\alpha}$. We distinguish between the following cases:

- 1. x is an auxiliary vertex added to an empty room r_i (see Fig. 10(a)). Then x is one of the vertices of the constrained triangle $v_iv_{i+1}x$ contained inside r_i . One of v_i , v_{i+1} must be a vertex in Π_P , say v_{i+1} . Then we set $f(x) = \{v_{i+1}\}$.
- 2. x is an auxiliary vertex added to a non-empty room r_i . Consider the following subcases:
 - (a) x is not the last auxiliary vertex on a_i , as we walk along a_i in the counterclockwise sense (see Fig. 10(b)). Then x is incident to a single triangle in $\mathcal{T}(\tilde{P})$ the other two vertices of which are vertices in P. Let y and z be these other two vertices. One of y and z has to be a green vertex, say y. Then we set $f(x) = \{y\}$.
 - (b) x is the last auxiliary vertex on a_i as we walk along a_i in the counterclockwise sense (see Figs. 10(c) and 10(d)). Then x is incident to a boundary crescent triangle and an upper crescent triangle. Let $xv_{i+1}y$ be the boundary crescent triangle and xyz the upper crescent triangle. Clearly, all three vertices v_{i+1} , y and z are vertices of P. If $y \in \Pi_P$ (this is the case in Fig. 10(c)), then we set $f(x) = \{y\}$. Otherwise (this is the case in Fig. 10(d)), both v_{i+1} and z have to be green vertices, in which case we set $f(x) = \{v_{i+1}, z\}$.

Now define the set $G_P = K_P \cup \left(\bigcup_{x \in K_{P^{\alpha}}} f(x)\right)$. We claim that G_P is a guarding set for P.

Lemma 6 The set
$$G_P = K_P \cup \left(\bigcup_{x \in K_{P^{\alpha}}} f(x)\right)$$
 is a guarding set for P .

Proof. Let us consider the triangulation $\mathcal{T}(\tilde{P})$ of \tilde{P} . The regions in P^{α} are sectors defined by a curvilinear arc, which is a subarc of an edge of P and the corresponding chord connecting the endpoints of this subarc. Let us consider the set of triangles in $\mathcal{T}(\tilde{P})$ and the set $\mathcal{S}(P)$ of sectors in P^{α} . To show that G_P is a guarding set for P, it suffices show that every triangle in $\mathcal{T}(\tilde{P})$ and every sector in $\mathcal{S}(P)$ is guarded by at least one vertex in G_P .

If T is a triangle in $\mathcal{T}(\tilde{P})$ that is defined over vertices of P, one of its vertices is colored red and belongs to $K_P \subseteq G_P$. Hence, T is guarded.

Consider now a triangle T that is defined inside an empty room r_i . If the auxiliary vertex of T is not red, then one of the two endpoints of a_i has to be red, and thus it belongs to G_P . Hence both T and the two sectors adjacent to it in r_i are guarded. If the auxiliary vertex is red, then one of the other two vertices of T is green and belongs to G_P ; again, T is guarded.

Suppose now that T is a boundary crescent triangle, and let s be the sector adjacent to it (consult Fig. 11(a)). Let x be the endpoint of a_i contained in T, y be the second point of T that belongs to P and z the point in P^{α} . Note that all three vertices guard the sector s. If x (resp., y) is a red vertex it will also be a vertex in G_P . Hence, in this case both T and s are guarded by s (resp., s). If s is the red vertex in s, either s or s has to be a green vertex. Hence either s or s will be in s, and thus again both s0 will be guarded.

If T is a lower crescent triangle, let s be the sector adjacent to it (consult Fig. 11(b)). Let x, y be the endpoints of the chord of s on a_i and let z be the point of P in T. Let us also assume we encounter x and y in that order as we walk along a_i in the counterclockwise sense, which implies that x is the intersection of the line zm_i and the arc a_i . Finally, let T' be the upper crescent triangle

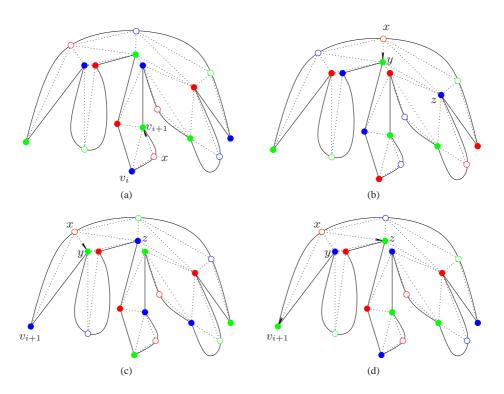


Figure 10: The three cases in the definition of the mapping f. Case (a): x is a auxiliary vertex in an empty room. Case (b): x is an auxiliary vertex in a non-empty room and is not the last auxiliary vertex added on the curvilinear arc. Cases (c) and (d): x is the last auxiliary vertex added on the curvilinear arc of a non-empty room (in (c) only one of its neighbors in P is green, whereas in (d) two of its neighbors in P are green).

incident to the edge yz, and let w be the third vertex of T', beyond y and z. It is interesting to note that all four vertices x, y, z and w guard T, T' and s. Moreover, x and w have to be of the same color. In order to show that T and s are guarded by G_P , it suffices to show that one of x, y, z and w belongs to G_P . Consider the following cases:

- 1. z is a red vertex. Since $z \in K_P$, we get that $z \in G_P$.
- 2. x is a red vertex. But then w is also a red vertex. Since $w \in K_P$, we conclude that w belongs to G_P as well.
- 3. y is a red vertex. Then either z is a green vertex or both x and w are green vertices. If z is a green vertex, then $\{z\} \subseteq f(y)$, which implies that $z \in G_P$. If z is a blue vertex, then both x and w are green vertices, and in particular $\{w\} \subseteq f(y)$. Hence $w \in G_P$.

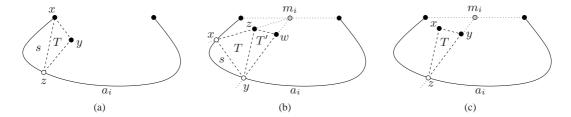


Figure 11: Three of the five cases in the proof of Lemma 6: (a) the triangle T is a boundary crescent triangle; (b) the triangle T is a lower crescent triangle; (c) the triangle T is an upper crescent triangle.

Finally, consider the case that T is an upper crescent triangle, let x and y be the vertices of P in T and let z be the vertex of T in P^{α} (consult Fig. 11(c)). Let us also assume that z is the intersection of the line ym_i with a_i . To show that T is guarded by G_P , it suffices to show that one of x and y belongs to G_P . Consider the following cases:

- 1. x is red vertex. Since $x \in K_P$ we have that $x \in G_P$.
- 2. y is red vertex. Since $y \in K_P$ we have that $y \in G_P$.
- 3. z is a red vertex. If x is a green vertex, then $\{x\} \subseteq f(z)$. Hence $x \in G_P$. If x is blue vertex, then y has to be a green vertex, and $\{y\} \subseteq f(z)$. Therefore, $y \in G_P$.

Since $f(x) \subseteq \Pi_P$ for every x in K_{P^α} we get that $\bigcup_{x \in K_{P^\alpha}} f(x) \subseteq \Pi_P$. But this, in turn implies that $G_P \subseteq K_P \cup \Pi_P$. Since K_P and Π_P are the two sets of smallest cardinality among K_P , Π_P and M_P , we can easily verify that $|K_P| + |\Pi_P| \le \lfloor \frac{2n}{3} \rfloor$. Hence, $|G_P| \le |K_P| + |\Pi_P| \le \lfloor \frac{2n}{3} \rfloor$, which yields the following theorem.

Theorem 7 Let P be a piecewise-convex polygon with $n \geq 2$ vertices. P can be guarded with at most $\lfloor \frac{2n}{3} \rfloor$ vertex guards.

We close this subsection by making two remarks:

Remark 1 The bound on the size of the vertex guarding set in Theorem 7 is tight: our algorithm will produce a vertex guarding set of size exactly $\lfloor \frac{2n}{3} \rfloor$ when applied to the piecewise-convex polygon of Fig. 8 or the crescent-like piecewise-convex polygon of Fig. 15.

Remark 2 When the input to our algorithm is a linear polygon all rooms are degenerate; consequently, no auxiliary vertices are created, and the guarding set computed corresponds to the set of colored vertices of smallest cardinality, hence producing a vertex guarding set of size at most $\lfloor \frac{n}{3} \rfloor$. In that respect, it can be considered as a generalization of Fisk's algorithm [18] to the class of piecewise-convex polygons.

3.4 Time and space complexity

In this section we will show how to compute a vertex guarding set G_P , of size at most $\lfloor \frac{2n}{3} \rfloor$, for P, in $O(n \log n)$ time and O(n) space. The algorithm presented at the beginning of this section consists of four phases:

- 1. The computation of the polygonal approximation \tilde{P} of P.
- 2. The computation of the constrained triangulation $\mathcal{T}(\tilde{P})$ of \tilde{P} .
- 3. The computation of a guarding set $G_{\tilde{P}}$ for \tilde{P} .
- 4. The computation of a guarding set G_P for P from the guarding set $G_{\tilde{P}}$.

Step 2 of the algorithm presented above can be done in O(T(n)) time and O(n) space, where T(n) is the time complexity of any $O(n \log n)$ polygon triangulation algorithm: we need linear time and space to create the constrained triangles of $T(\tilde{P})$, whereas the subpolygons created after the introduction of the constrained triangles may be triangulated in O(T(n)) time and linear space.

Step 3 of the algorithm takes also linear time and space with respect to the size of the polygon \tilde{P} . By Corollary 4, $|\tilde{P}| \leq 3n$, which implies that the guarding set $G_{\tilde{P}}$ can be computed in O(n) time and space.

Step 4 also requires O(n) time. Computing G_P from $G_{\tilde{P}}$ requires determining for each vertex v of $K_{P^{\alpha}}$ all the vertices of Π_P adjacent to it. This takes time proportional to the degree deg(v) of v in $T(\tilde{P})$, i.e., a total of $\sum_{v \in K_{P^{\alpha}}} deg(v) = O(|\tilde{P}|) = O(n)$ time. The space requirements for performing Step 4 is O(n).

To complete our time and space complexity analysis, we need to show how to compute the polygonal approximation \tilde{P} of P in $O(n\log n)$ time and linear space. In order to compute the polygonal approximation \tilde{P} or P, it suffices to compute for each room r_i the set of vertices C_i^* . If $C_i^* = \emptyset$, then r_i is empty, otherwise we have the set of vertices we wanted. From C_i^* we can compute the points $w_{i,k}$ and the linear polygon \tilde{P} in O(n) time and space.

The underlying idea is to split P into y-monotone piecewise-convex subpolygons. For each room r_i within each such y-monotone subpolygon, corresponding to a convex arc a_i with endpoints v_i and v_{i+1} , we will then compute the corresponding set C_i^* . This will be done by first computing a subset S_i of the set R_i of the points inside the room r_i , such that $S_i \supseteq C_i^*$, and then apply an optimal time and space convex hull algorithm to the set $S_i \cup \{v_i, v_{i+1}\}$ in order to compute C_i , and subsequently from that C_i^* . In the discussion that follows, we assume that for each convex arc a_i of P we associate a set S_i , which is initialized to be the empty set. The sets S_i will be progressively filled with vertices of P, so that in the end they fulfill the containment property mentioned above.

Splitting P into y-monotone piecewise-convex subpolygons can be done in two steps:

1. First we need to split each convex arc a_i into y-monotone pieces. Let P' be the piecewise-convex polygon we get by introducing the y-extremal points for each a_i . Since each a_i can yield up to three y-monotone convex pieces, we conclude that $|P'| \leq 3n$. Obviously splitting the convex arcs a_i into y-monotone pieces takes O(n) time and space. A vertex added to split a convex arc into y-monotone pieces will be called an *added extremal vertex*.

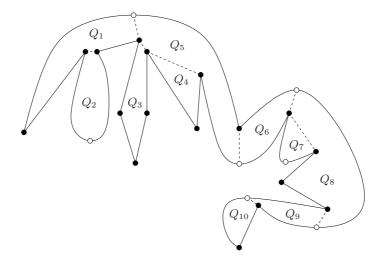


Figure 12: Decomposition of a piecewise-convex polygon into ten y-monotone subpolygons. The white points are added extremal vertices that have been added in order to split non-y-monotone arcs to y-monotone pieces. The bridges are shown as dashed segments.

2. Second, we need to apply the standard algorithm for computing y-monotone subpolygons out of a linear polygon to P' (cf. [23] or [12]). The algorithm in [23] (or [12]) is valid not only for line segments, but also for piecewise-convex polygons consisting of y-monotone arcs (such as P'). Since $|P'| \leq 3n$, we conclude that computing the y-monotone subpolygons of P' takes $O(n \log n)$ time and requires O(n) space.

Note that a non-split arc of P belongs to exactly one y-monotone subpolygon. y-monotone pieces of a split arc of P may belong to at most three y-monotone subpolygons (see Fig. 12).

At the beginning of our algorithm we associate to each arc a_i of P a set of vertices S_i , which is initialized to the empty set. Suppose now that we have a y-monotone polygon Q. The edges of Q are either convex arcs of P, or pieces of convex arcs of P, or line segments between mutually visible vertices of P, added in order to form the y-monotone subpolygons of P; we call these line segments bridges (see Fig. 12). For each non-bridge edge e_i of Q, we want to compute the set C_i^* . This can be done by sweeping Q in the negative y-direction (i.e., by moving the sweep line from $+\infty$ to $-\infty$). The events of the sweep correspond to the y coordinates of the vertices of Q, which are all known before-hand and can be put in a decreasing sorted list. The first event of the sweep corresponds to the top-most vertex of Q: since Q consists of y-monotone convex arcs, the y-maximal point of Q is necessarily a vertex. The last event of the sweep corresponds to the bottom-most vertex of Q, which is also the y-minimal point of Q. We distinguish between four different types of events:

- 1. the first event: corresponds to the top-most vertex of Q,
- 2. the last event: corresponds to the bottom-most vertex of Q,

- 3. a left event: corresponds to a vertex of the left y-monotone chain of Q, and
- 4. a right event: corresponds to a vertex of the right y-monotone chain of Q.

Our sweep algorithm proceeds as follows. Let ℓ be the sweep line parallel to the x-axis at some y. For each y in between the y-maximal and y-minimal values of Q, ℓ intersects Q at two points which belong to either a left edge e_l (i.e., an edge on the left y-monotone chain of Q) or is a left vertex v_l (i.e., a vertex on the left y-monotone chain of Q), and either a right edge e_r (i.e., an arc on the right y-monotone chain of Q) or a right vertex v_r (i.e., a vertex on the right y-monotone chain of Q). We are going to associate the current left edge e_l at position y to a point set S_L and the current right edge at position y to a point set S_R . If the edge e_l (resp., e_r) is a non-bridge edge, the set S_L (resp., S_R) will contain vertices of Q that are inside the room of the convex arc of P corresponding e_l (resp., e_r).

When the y-maximal vertex v_{max} is encountered, i.e., during the first event, we initialize S_L and S_R to be the empty set. When a left event is encountered due a vertex v, let $e_{l,up}$ be the left edge above v and $e_{l,down}$ be the left edge below v and let e_r be the current right edge (i.e., the right edge at the y-position of v). If $e_{l,up}$ is an non-bridge edge, and a_i is the corresponding convex arc of P, we augment the set S_i by the vertices in S_L . Then, irrespectively of whether or not $e_{l,up}$ is a bridge edge, we re-initialize S_L to be the empty set. Finally, if e_r is a non-bridge edge, and a_k is the corresponding convex arc in P, we check if v is inside the room r_k or lies in the interior of the chord of r_k ; if this is the case we add v to S_R . When a right event is encountered our sweep algorithm behaves symmetrically. If the right event is due to a vertex v, let $e_{r,up}$ be right edge of Qabove v and $e_{r,down}$ be the right edge of Q below v and let e_l be the current left edge of Q. If $e_{l,up}$ is a non-bridge edge, and a_i is the corresponding convex arc of P, we augment S_i by the vertices in S_R . Then, irrespectively of whether or not $e_{r,up}$ is a bridge edge or not, we re-initialize S_R to be the empty set. Finally, If e_l is a non-bridge edge, and a_k is the corresponding convex arc of P, we check if v is inside the room r_k or lies in the interior of the chord of r_k ; if this is the case we add vto S_L . When the last event is encountered due to the y-minimal vertex v_{min} , let e_l and e_r be the left and right edges of Q above v_{min} , respectively. If e_l is a non-bridge edge, let a_i be the corresponding convex arc in P. In this case we simply augment S_i by the vertices in S_L . Symmetrically, if e_r is a non-bridge edge, let a_i be the corresponding convex arc in P. In this case we simply augment S_i by the vertices in S_R .

We claim that our sweep-line algorithm computes a set S_i such that $S_i \supseteq C_i^*$. To prove this we need the following intermediate result:

Lemma 8 Given a non-empty room r_i of P, with a_i the corresponding convex arc, the vertices of the set C_i^* belong to the y-monotone subpolygons of P' computed via the algorithm in [23] (or [12]), which either contain the entire arc a_i or y-monotone pieces of a_i .

Proof. Let r_i be a non-empty room, a_i the corresponding convex arc and let u be a vertex of P in C_i^* that is not a vertex of any of the y-monotone subpolygons of P' (computed by the algorithm in [23] or [12]) that contain either the entire arc a_i or y-monotone pieces of a_i . Let v_{max} (resp., v_{min}) be the vertex of P of maximum (resp., minimum) y-coordinate in C_i (ties are broken lexicographically). Let ℓ_u be the line parallel to the x-axis passing through u. Consider the following cases:

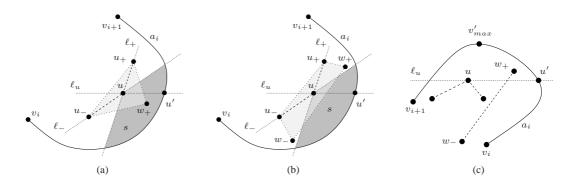


Figure 13: Proof of Lemma 8. (a) The case $u \in C_i^* \setminus \{v_{min}, v_{max}\}$, with $w_+ \in s$. (b) The case $u \in C_i^* \setminus \{v_{min}, v_{max}\}$, with $w_+, w_- \notin s$. (c) The case $u \equiv v_{max}$.

1. $u \in C_i^* \setminus \{v_{min}, v_{max}\}$. In this case u will be a vertex in either the left y-monotone chain of C_i or a vertex in the right y-monotone chain of C_i . Without loss of generality we can assume that u is a vertex in the right y-monotone chain of C_i (see Figs. 13(a) and 13(b)). Let u' be the intersection of ℓ_u with a_i . Let Q (resp., Q') be the y-monotone subpolygon of P' that contains u (resp., u'); by our assumption $Q \neq Q'$. Finally, let u_+ (resp., u_-) be the vertex of C_i above (resp., below) u in the right y-monotone chain of C_i .

The line segment uu' cannot intersect any edges of P, since this would contradict the fact that $u \in C_i^*$. Similarly, uu' cannot contain any vertices of P': if v is a vertex of P in the interior of uu', u would be inside the triangle vu_+u_- , which contradicts the fact that $u \in C_i^*$, whereas if v is a vertex of $P' \setminus P$ in the interior of uu', P would not be locally convex at v, a contradiction with the fact that P is a piecewise-convex polygon. As a result, and since $Q \neq Q'$, there exists a bridge edge e intersecting uu'. Let w_+, w_- be the two endpoints of e in P', where w_+ lies above the line ℓ_u and w_- lies below the line ℓ_u . In fact neither w_+ nor w_{-} can be a vertex in $P' \setminus P$, since the algorithm in [23] (or [12]) will connect a vertex in $P' \setminus P$ inside a room r_k with either the y-maximal or the y-minimal vertex of C_k only. Let ℓ_+ (resp., ℓ_-) be the line passing through the vertices u and u_+ (resp., u and u_-). Finally, let s be the sector delimited by the lines ℓ_+ , ℓ_- and a_i . Now, if w_+ lies inside s, then u will be inside the triangle $w_+u_+u_-$ (see Fig. 13(a)). Analogously, if w_- lies inside s, then u will be inside the triangle $w_-u_+u_-$. In both cases we get a contradiction with the fact that $u\in C_i^*$. If neither w_+ nor w_- lie inside s, then both w_+ and w_- have to be vertices inside r_i , and moreover u will lie inside the convex quadrilateral $w_+u_+u_-w_-$; again this contradicts the fact that $u \in C_i^*$ (see Fig. 13(b)).

2. $u \equiv v_{max}$. By the maximality of the y-coordinate of u in C_i , we have that the y-coordinate of u is larger than or equal to the y-coordinates of both v_i and v_{i+1} . Therefore, the line ℓ_u intersects the arc a_i exactly twice, and, moreover, a_i has a y-maximal vertex of $P' \setminus P$ in its interior, which we denote by v'_{max} (see Fig. 13(c)). Let u' be the intersection of ℓ_u with

 a_i that lies to the right of u, and let Q (resp., Q') be the y-monotone subpolygon of P' that contains u (resp., u'). By assumption $Q \neq Q'$, which implies that there exists a bridge edge e intersecting the line segment uu'. Notice, that, as in the case $u \in C_i^* \setminus \{v_{min}, v_{max}\}$, the line segment uu' cannot intersect any edges of P, or cannot contain any vertex v of $P' \setminus P$; the former would contradict the fact that $u \in C_i^*$, whereas as the latter would contradict the fact that P is piecewise-convex. Furthermore, uu' cannot contain vertices of P since this would contradict the maximality of the y-coordinate of u in C_i .

Let w_+ and w_- be the endpoints of e above and below ℓ_u , respectively. Notice that e cannot have v'_{max} as endpoint, since the only bridge edge that has v'_{max} as endpoint is the bridge edge $v'_{max}u$. But then w_+ must be a vertex of P lying inside r_i ; this contradicts the maximality of the y-coordinate of u among the vertices in C_i .

3. $u \equiv v_{min}$. This case is entirely symmetric to the case $u \equiv v_{max}$.

An immediate corollary of the above lemma is the following:

Corollary 9 For each convex arc a_i of P, the set S_i computed by the sweep algorithm described above is a superset of the set C_i^* .

Let us now analyze the time and space complexity of Step 1 of the algorithm sketched at the beginning of this subsection. Computing the polygonal approximation \tilde{P} of P requires subdividing P into y-monotone subpolygons. This subdivision takes $O(n\log n)$ time and O(n) space. Once we have the subdivision of P into y-monotone subpolygons we need to compute the sets S_i for each convex arc a_i of P. The sets S_i can be implemented as red-black trees. Inserting an element in some S_i takes $O(\log n)$ time. During the course of our algorithm we perform only insertions on the S_i 's. A vertex v of P is inserted at most deg(v) times in some S_i , where deg(v) is the degree of v in the v-monotone decomposition of P. Since the sum of the degrees of the vertices of P in the v-monotone decomposition of P is O(n), we conclude that the total size of the S_i 's is O(n) and that we perform O(n) insertions on the S_i 's. Therefore we need $O(n\log n)$ time and O(n) space to compute the S_i 's. Finally, since $\sum_{i=1}^n |S_i| = O(n)$, the sets C_i^* can also be computed in total $O(n\log n)$ time and O(n) space. The analysis above thus yields the following:

Theorem 10 Let P be a piecewise-convex polygon with $n \ge 2$ vertices. We can compute a guarding set for P of size at most $\lfloor \frac{2n}{3} \rfloor$ in $O(n \log n)$ time and O(n) space.

3.5 The lower bound construction

In this section we are going to present a piecewise-convex polygon which requires a minimum of $\lfloor \frac{4n}{7} \rfloor - 1$ vertex guards in order to be guarded.

Let us first consider the windmill-like piecewise-convex polygon W with seven vertices of Fig. 14(a), a detail of which is shown in Fig. 14(b). The *double ear* defined by the vertices v_3 , v_4 and v_5 and the convex arcs a_3 and a_4 is constructed in such a way so that neither v_3 nor v_5 can guard both rooms v_3 and v_4 by itself. This is achieved by ensuring that a_3 (resp., a_4) intersects the line

 v_4v_5 (resp., v_3v_4) twice. Note that both a_3 and a_4 intersect the line mv_4 only at v_4 , where m is the midpoint of the line segment v_3v_5 . The double ear defined by the vertices v_5 , v_6 and v_7 and the convex arcs a_5 and a_6 is constructed in an analogous way. Moreover, the vertices v_1 , v_2 , v_4 and v_6 are placed in such a way so that they do not (collectively) guard the interior of the triangle $v_3v_5v_7$ (for example the lengths of the edges v_1v_7 and v_2v_3 are considered to be big enough, so that v_2 does not see too much of the triangle $v_3v_5v_7$). As a result of this construction, W cannot be guarded by two vertex guards, but can be guarded with three. There are actually only five possible guarding triplets: $\{v_3, v_4, v_6\}$, $\{v_3, v_5, v_6\}$, $\{v_3, v_5, v_7\}$, $\{v_4, v_5, v_7\}$ and $\{v_4, v_6, v_7\}$. Any guarding set that contains either v_1 or v_2 has cardinality at least four. The vertices v_1 and v_2 will be referred to as base vertices.

Consider now the crescent-like polygon C with n vertices of Fig. 15. The vertices of C are in strictly convex position. This fact has the following implication: if v_i , v_{i+1} , v_{i+2} and v_{i+3} are four consecutive vertices of C, and u is the point of intersection of the lines v_iv_{i+1} and $v_{i+2}v_{i+3}$, then the triangle $v_{i+1}uv_{i+2}$ is guarded if and only if either v_{i+1} or v_{i+2} is in the guarding set of C. As a result, it is easy to see that C cannot be guarded with less than $\lfloor \frac{n}{2} \rfloor$ vertices, since in this case there will be at least one edge both endpoints of which would not be in the guarding set for C.

In order to construct the piecewise-convex polygon that gives us the lower bound mentioned at the beginning of this section, we are going to merge several copies of W with C. More precisely, consider the piecewise-convex polygon P of Fig. 16 with n=7k vertices. It consists of copies of the polygon W merged with C at every other linear edge of C, through the base points of the W's.

In order to guard any of the windmill-like subpolygons, we need at least three vertices per such polygon, none which can be a base point. This gives a total of 3k vertices. On the other hand, in order to guard the crescent-like part of P we need at least k-1 guards among the base points. To

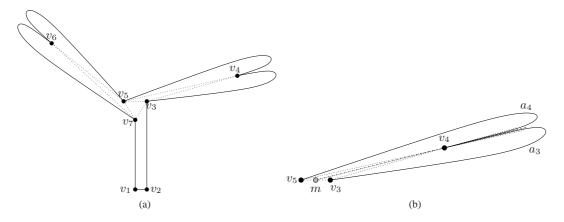


Figure 14: The windmill-like piecewise-convex polygon W that requires at least three vertex guards in order to be guarded. The only triplets of guards that guard W are $\{v_3, v_4, v_6\}$, $\{v_3, v_5, v_6\}$, $\{v_3, v_5, v_7\}$, $\{v_4, v_5, v_7\}$ and $\{v_4, v_6, v_7\}$.

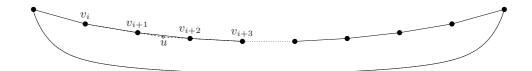


Figure 15: The crescent-like piecewise-convex polygon C, that requires a guarding set of at least $\lfloor \frac{n}{2} \rfloor$ vertex guards.

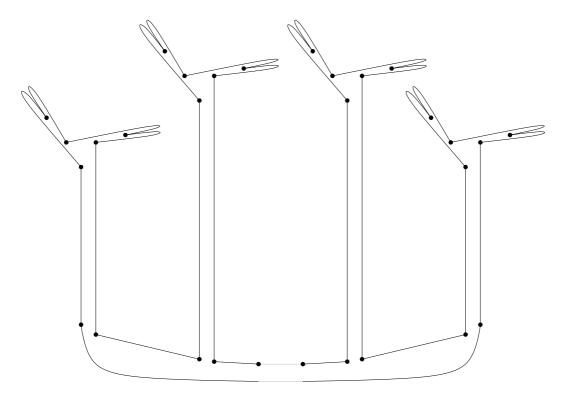


Figure 16: The lower bound construction.

see that, notice that there are k-1 linear segments connecting base points; if we were to use less than k-1 guards, we would have at least one such line segment e, both endpoints of which would not participate in the guarding set of G. But then, as in the case of C, there would be a triangle, adjacent to e, which would not be guarded. Therefore, in order to guard P we need a minimum of $4k-1=\lfloor \frac{4n}{7}\rfloor-1$ guards, which yields the following theorem.

Theorem 11 There exists a family of piecewise-convex polygons with n vertices any vertex guarding set of which has cardinality at least $\left|\frac{4n}{7}\right| - 1$.

4 Monotone piecewise-convex polygons

In this section we focus on the subclass of piecewise-convex polygons that are monotone. Let us recall the definition of monotone polygons from Section 1: a curvilinear polygon P is called monotone if there exists a line L such that any line L^{\perp} perpendicular to L intersects P at most twice.

In the case of linear polygons monotonicity does not yield better bounds on the worst case number of point or vertex guards needed in order to guard the polygon. In both cases, monotone or possibly non-monotone linear polygons, $\lfloor \frac{n}{3} \rfloor$ point or vertex guards are always sufficient and sometimes necessary. In the context of piecewise-convex polygons the situation is different. Unlike general (i.e., not necessarily monotone) piecewise-convex polygons, which require at least $\lfloor \frac{4n}{7} \rfloor - 1$ vertex guards and can always be guarded with $\lfloor \frac{2n}{3} \rfloor$ vertex guards, monotone piecewise-convex polygons can always be guarded with $\lfloor \frac{n}{2} \rfloor + 1$ vertex or $\lfloor \frac{n}{2} \rfloor$ point guards. These bounds are tight, since there exist monotone piecewise-convex polygons that require that many vertex (see Figs. 18 and 19) or point guards (see Fig. 20). This section is devoted to the presentation of these tight bounds.

Vertex guards. Let us consider a monotone piecewise-convex polygon P, and let us assume without loss of generality that P is monotone with respect to the x-axis (see also Fig. 17). Let u_j , $1 \le j \le n$, be the j-th vertex of P when considered in the list of vertices sorted with respect to their x-coordinate (ties are broken lexicographically). Let also u_0 (resp., u_{n+1}) be the left-most (resp., right-most) point of P. Let ℓ_j , $0 \le j \le n+1$ be the vertical line passing through the point u_j of P, and let $\mathcal{L} = \{\ell_0, \ell_1, \ell_2, \ldots, \ell_{n+1}\}$ be the collection of these lines. An immediate consequence of the fact that P is monotone and piecewise-convex is the following corollary:

Corollary 12 The collection of lines \mathcal{L} decomposes the interior of P into at most n+1 convex regions κ_j , $j=0,\ldots,n$, that are free of vertices or edges of P.

In addition to the fact that the region κ_j , $1 \le j \le n-1$, is convex, κ_j has on its boundary both vertices u_j and u_{j+1} . This immediately implies that both u_j and u_{j+1} see the entire region κ_j . As far as κ_0 and κ_n are concerned, they have u_1 and u_n on their boundary, respectively. As a result, u_1 sees κ_0 , whereas u_n sees κ_n . Hence, in order to guard P it suffices to take every other vertex u_j , starting from u_1 , plus u_n (if not already taken). The set $G = \{u_{2m-1}, 1 \le m \le \lfloor \frac{n}{2} \rfloor\} \cup \{u_n\}$ is, thus, a vertex guarding set for P of size $\lfloor \frac{n}{2} \rfloor + 1$.

A line L with respect to which P is monotone can be computed in O(n) time if it exists [13]. Given L, we can compute the vertex guarding set G for P in O(n) time and O(n) space: project the vertices of P on L and merge the two sorted (with respect to their ordering on L) lists of vertices in the upper and lower chain of P; then report every other vertex in the merged sorted list starting from the first vertex, plus the last vertex of P, if it has not already been reported.

The polygons M_1 and M_2 yielding the lower bound are shown in Figs. 18 and 19. M_1 has an odd number of vertices, whereas M_2 has an even number of vertices. Let G_1 (resp., G_2) be the vertex

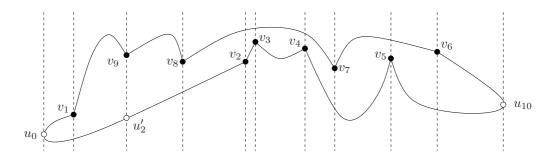


Figure 17: A monotone piecewise-convex polygon P with n=9 vertices and its vertical decomposition into four-sided convex slabs. The points u_0 and u_{10} are the left-most and right-most points of P; u_2' is the projection of $u_2 \equiv v_9$, along ℓ_2 , on the opposite chain of P. P can be either guarded with: (1) $\lfloor \frac{n}{2} \rfloor + 1 = 5$ vertices, namely the vertex set $\{u_1, u_3, u_5, u_7, u_9\} \equiv \{v_1, v_8, v_3, v_7, v_6\}$, or $\lfloor \frac{n}{2} \rfloor = 4$ points, namely the point set $\{u_2', u_4, u_6, u_8\} \equiv \{u_2', v_2, v_4, v_5\}$.

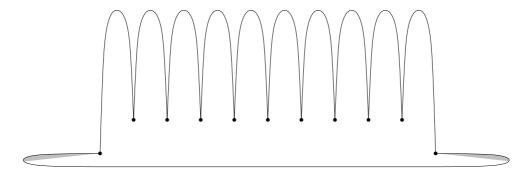


Figure 18: A monotone piecewise-convex polygon M_1 with an odd number of vertices that requires $\lfloor \frac{n}{2} \rfloor + 1$ vertex guards in order to be guarded: the shaded regions require that at least one of the two endpoints of the bottom-most edge of the polygon to be in the guarding set.

guarding set for M_1 (resp., M_2). Let us first consider M_1 (see Fig. 18). Notice that each prong of M_1 is fully guarded by either of its two endpoints; the other vertices of M_1 can only partially guard the prongs that they are not adjacent to. Moreover, the shaded regions of M_1 can only be guarded by u_1 or u_n . Suppose, now, we can guard M_1 with less than $\lfloor \frac{n}{2} \rfloor + 1$ vertex guards. Then either two consecutive vertices u_i and u_{i+1} of M_1 , $1 \le i \le n-1$, will not belong to G_1 , or u_1 and u_n will not belong to G_1 . In the former case, the prong that has u_i and u_{i+1} as endpoints is only partially guarded by the vertices in G_1 , a contradiction. In the latter case, the shaded regions of M_1 are not guarded by the vertices in G_1 , again a contradiction.

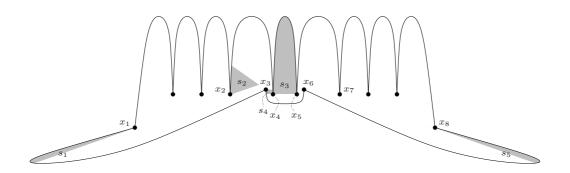


Figure 19: A monotone piecewise-convex polygon M_2 with an even number of vertices that requires $\lfloor \frac{n}{2} \rfloor + 1$ vertex guards in order to be guarded.

Consider now the polygon M_2 (see Fig. 19). The number of vertices of M_2 between x_1 and x_2 is equal to the number of vertices between x_7 and x_8 , and even in number. Every prong of M_2 between x_1 and x_2 (resp., between x_7 and x_8) can be guarded by its two endpoints only; all other vertices of M_2 guard each such prong only partially. The shaded region s_1 (resp., s_5) is guarded only if either x_1 or x_3 (resp., either x_6 or x_8) belongs to G_2 . The prong with endpoints x_2 and x_4 can be guarded by either both x_2 and x_4 , or by x_3 . If x_2 is the only vertex in G_2 among x_2 , x_3 and x_4 , then the shaded region s_4 is not guarded. Similarly, if x_4 is the only vertex in G_2 among x_2 , x_3 and x_4 , then the shaded region s_2 is not guarded. Finally, if neither x_4 nor x_5 belong to G_2 , then the shaded prong s_3 is not guarded. Let us suppose now that M_2 can be guarded by less than $\lfloor \frac{n}{2} \rfloor + 1$ vertex guards. By our observations above, it is not possible that two consecutive vertices u_i and u_{i+1} of M_2 , $1 \le i \le n-1$, do not belong to G_2 . Hence G_2 will be a subset of the set $G_2' = \{u_{2m-1}, 1 \le m \le \lfloor \frac{n}{2} \rfloor \}$ or a subset of the set $G_2'' = \{u_{2m}, 1 \le m \le \lfloor \frac{n}{2} \rfloor \}$. In the former case, i.e., if $G_2 \subseteq G_2'$, neither x_6 nor x_8 belong to G_2 , and thus the region s_5 is not guarded, a contradiction. Similarly, if $G_2 \subseteq G_2''$, neither x_1 nor x_3 belong to G_2 , and thus the region s_1 is not guarded, again a contradiction. We thus conclude that $|G_2| \ge \lfloor \frac{n}{2} \rfloor + 1$.

Point guards. We now turn our attention to guarding P with point guards (refer again to Fig. 17). Define G_{even} to be the vertex set $G_{even} = \{u_{2m}, 1 \leq m \leq \lfloor \frac{n}{2} \rfloor\}$. If $u_0 \neq u_1$, i.e., if $\kappa_0 \neq \emptyset$, let e_f be the first (left-most) edge of P, and u_μ , $\mu > 1$, the right-most endpoint of e_f (the left-most endpoint of e_f is necessarily u_1). If $u_{n+1} \neq u_n$, i.e., if $\kappa_{n+1} \neq \emptyset$, let e_l be the last (right-most) edge of P, and u_ν , $\nu < n$, the left-most endpoint of e_l (the right-most endpoint of e_l is necessarily u_n). Finally, let u_i' , $1 \leq i \leq n-1$ be the projection along $1 \leq i \leq n-1$ be the projection along $1 \leq i \leq n-1$ be the following procedure:

- 1. Set G equal to G_{even} .
- 2. If $u_0 \neq u_1$ and $\mu > 2$, replace u_2 in G by u'_2 .
- 3. If $u_{n+1} \neq u_n$ and n is odd and $\nu < n-1$, replace $u_{2\lfloor \frac{n}{2} \rfloor}$ by $u'_{2\lfloor \frac{n}{2} \rfloor}$.

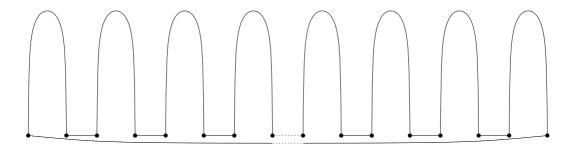


Figure 20: A comb-like monotone piecewise-convex polygon that requires $\lfloor \frac{n}{2} \rfloor$ point guards in order to be guarded: one point guard is required per prong.

As in the case of vertex guards, the set G can be computed in linear time and space: G_{even} can be computed in linear time and space, whereas determining if u_2 (resp., $u_{2\lfloor\frac{n}{2}\rfloor}$) is to be replaced in G by u_2' (resp., $u_{2\lfloor\frac{n}{2}\rfloor}$) takes O(1) time. The following lemma establishes that G is indeed a point guarding set for P.

Lemma 13 The set G defined according to the procedure above is a point guarding set for P.

Proof. Every convex region κ_i , $3 \le i \le n-3$ is guarded by either u_i or u_{i+1} , since one of the two is in G.

Now consider the convex regions κ_0 , κ_1 and κ_2 . Both u_2 and u_2' lie on the common boundary of κ_1 and κ_2 . Since either u_2 or u_2' is in G, we conclude that κ_1 and κ_2 are guarded. If $\kappa_0 = \emptyset$, i.e., if $u_0 \equiv u_1$, κ_0 is vacuously guarded. Suppose $\kappa_0 \neq \emptyset$, i.e., $u_0 \neq u_1$. Let r_f be the room of P corresponding to the edge e_f . Clearly, $\kappa_0 \subseteq r_f$. We distinguish between the cases $\mu = 2$ and $\mu > 2$. If $\mu = 2$, then $u_2 \in G$ guards r_f and thus κ_0 . If $\mu > 2$, the point $u_2' \in G$ is a point on e_f . Therefore, u_2' guards v_f and thus v_f .

Finally, we consider the convex regions κ_{n-2} , κ_{n-1} and κ_n . If $\kappa_n = \emptyset$, i.e., $u_{n+1} \equiv u_n$, κ_n is vacuously guarded. Suppose, now, that $\kappa_n \neq \emptyset$, i.e., $u_{n+1} \neq u_n$. Let r_l be the room of P corresponding to the edge e_l . Clearly, $\kappa_n \subseteq r_l$. We distinguish between the cases "n even" and "n odd". If n is even, then both $u_{n-2} \equiv u_{2\lfloor \frac{n}{2} \rfloor - 2}$ and $u_n \equiv u_{2\lfloor \frac{n}{2} \rfloor}$ belong to G. This immediately implies that all three κ_{n-2} , κ_{n-1} and κ_n are guarded: κ_{n-2} is guarded by u_{n-2} , whereas κ_{n-1} and κ_n are guarded by u_n . If n is odd, either $u_{n-1} \equiv u_{2\lfloor \frac{n}{2} \rfloor}$ or $u'_{n-1} \equiv u'_{2\lfloor \frac{n}{2} \rfloor}$ belongs to G. Since both u_{n-1} and u'_{n-1} lie on the common boundary of κ_{n-2} and κ_{n-1} , we conclude that both κ_{n-2} and κ_{n-1} are guarded. To prove that κ_n is guarded, we further distinguish between the cases $\nu = n-1$ and $\nu < n-1$. If $\nu = n-1$, then $u_{n-1} \in G$ is an endpoint of r_l , and thus guards κ_n . If $\nu < n-1$, the point $u'_{n-1} \in G$ is a point on e_l . Therefore, u'_{n-1} guards r_l and thus κ_n .

As far as the minimum number of point guards required to guard a monotone piecewise-convex polygon is concerned, the polygon M, shown in Fig. 20, yields the sought for lower bound. Notice that is very similar to the well known comb-like linear polygon that establishes the lower bound on

the number of point or vertex guards required to guard a linear polygon. In our case it is easy to see that we need at least one point guard per prong of the polygon, and since there are $\lfloor \frac{n}{2} \rfloor$ prongs we conclude that we need at least $\lfloor \frac{n}{2} \rfloor$ point guards in order to guard M.

We are now ready to state the following theorem that summarizes the results of this section.

Theorem 14 Given a monotone piecewise-convex polygon P with $n \geq 2$ vertices, $\lfloor \frac{n}{2} \rfloor + 1$ vertex (resp., $\lfloor \frac{n}{2} \rfloor$ point) guards are always sufficient and sometimes necessary in order to guard P. Moreover, we can compute a vertex (resp., point) guarding set for P of size $\lfloor \frac{n}{2} \rfloor + 1$ (resp., $\lfloor \frac{n}{2} \rfloor$) in O(n) time and O(n) space.

5 Piecewise-concave polygons

In this section we deal with the problem of guarding piecewise-concave polygons using point guards. Guarding a piecewise-concave polygon with vertex guards may be impossible even for very simple configurations (see Fig. 22(a)). In particular we prove the following:

Theorem 15 Let P be a piecewise-concave polygon with n vertices. 2n-4 point guards are always sufficient and sometimes necessary in order to guard P.

Proof. To prove the sufficiency of 2n-4 point guards we essentially apply the technique in [17] for illuminating disjoint compact convex sets — please refer to Fig. 21. We denote by A_i the convex object delimited by a_i and the chord v_iv_{i+1} of a_i . Let $t_i(v_j)$ be the tangent line to a_i at v_j , j=i,i+1, and let b_{i+1} be the bisecting ray of $t_i(v_{i+1})$, $t_{i+1}(v_{i+1})$ pointing towards the interior of P.

Construct a set of locally convex arcs $\mathcal{K} = \{\kappa_1, \kappa_2, \dots, \kappa_n\}$ that lie entirely inside P as such that (cf. [17]):

- (a) the endpoints of κ_i are v_i , v_{i+1} ,
- (b) κ_i is tangent to b_i (resp., b_{i+1}) at v_i (resp., v_{i+1}),
- (c) if S_i is the locally convex object defined by κ_i and its chord $v_i v_{i+1}$, then $A_i \subseteq S_i$, $1 \le i \le n$,
- (d) the arcs κ_i are pairwise non-crossing, and
- (e) the number of tangencies between the elements of K is maximized.

Let Q be the piecewise-concave polygon defined by the sequence of the arcs in K.

Suppose now that κ_i and $\kappa_{\sigma(j)}$ are tangent, $1 \leq j \leq m$, and let $\ell_{i,\sigma(j)}$ be the common tangent to κ_i and $\kappa_{\sigma(j)}$. Let $s_{i,\sigma(j)}$ be the line segment on $\ell_{i,\sigma(j)}$ between the points of intersection of $\ell_{i,\sigma(j)}$ with $\ell_{i,\sigma(j-1)}$ and $\ell_{i,\sigma(j+1)}$. Let Π_i be the polygonal region defined by the chord v_iv_{i+1} and the line segments $s_{i,\sigma(j)}$. Π_i is a linear polygon with at most two reflex vertices (at v_i and/or v_{i+1}). It is easy to see that placing guards on the vertices of the Π_i 's guards both P and Q. Let G_Q be the guard set of P constructed this way. Construct, now, a planar graph Γ with vertex set \mathcal{K} . Two

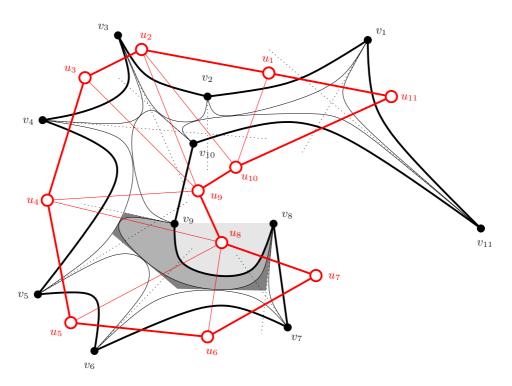


Figure 21: The proof for the upper bound of Theorem 15. The polygon P is shown with thick solid curvilinear arcs. The arcs κ_i are shown as thin solid arcs. The dotted rays are the bisecting rays b_i , whereas the dashed ray is the ray $r_8(v_9)$. The regions A_8 , $S_8 \setminus A_8$ and $\Pi_8 \setminus S_8$ are also shown using three levels of gray; note that Π_8 has one reflex vertex at v_9 . The graph Γ (i.e., the triangulation graph $\mathcal{T}(R)$) is shown in red: the node u_i corresponds to the arc a_i and the polygon R is depicted via thick segments.

vertices κ_i and κ_j of Γ are connected via an edge if κ_i and κ_j are tangent. The graph Γ is a planar graph combinatorially equivalent to the triangulation graph $\mathcal{T}(R)$ of a polygon R with n vertices. The edges of Γ connecting the arcs κ_i , κ_{i+1} , $1 \leq i \leq n$, are the boundary edges of R, whereas all other edges of Γ correspond to diagonals in $\mathcal{T}(R)$. Let Q° denote the interior of Q. Observing that Q° consists of a number of faces that are in 1–1 correspondence with the triangles in $\mathcal{T}(R)$, we conclude that Q° consists of n-2 faces, each containing three guards of G_Q . It fact, each face of Q° can actually be guarded by only two of the three guards it contains and thus we can eliminate one of them per face of Q° . The new guard set G of G constructed above is also a guard set for G and contains G point guards.

To prove the necessity, refer to the piecewise-concave polygon P in Fig. 22(b). Each one of the pseudo-triangular regions in the interior of P requires exactly two point guards in order to be

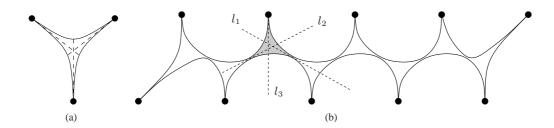


Figure 22: (a) A piecewise-concave polygon P that cannot be guarded solely by vertex guards. Two consecutive edges of P have a common tangent at the common vertex and as a result the three vertices of P see only the points along the dashed segments. (b) A piecewise-concave polygon P that requires 2n-4 point guards in order to be guarded.

guarded. Consider for example the pseudo-triangle τ shown in gray in Fig. 22(b). We need one point along each one of the lines l_1 , l_2 and l_3 in order to guard the regions near the corners of τ , which implies that we need at least two points in order to guard τ (two out of the three points of intersection of the lines l_1 , l_2 and l_3). The number of such pseudo-triangular regions is exactly n-2, thus we need a total of 2n-4 point guards to guard P.

6 Locally convex and general polygons

We have so far been dealing with the cases of piecewise-convex and piecewise-concave polygons. In this section we will present results about locally convex, monotone locally convex and general polygons.

Locally convex polygons. The situation for locally convex polygons is much less interesting, as compared to piecewise-convex polygons, in the sense that there exist locally convex polygons that require n vertex guards in order to be guarded. Consider for example the locally convex polygon of Fig. 23(a). Every room in this polygon cannot be guarded by a single guard, but rather it requires both vertices of every locally convex edge to be in any guarding set in order for the corresponding room to be guarded. As a result it requires n vertex guards. Clearly, these n guards are also sufficient, since any one of them guards also the central convex part of the polygon. More interestingly, even if we do not restrict ourselves to vertex guards, but rather allow guards to be any point in the interior or the boundary of the polygon, then the locally convex polygon in Fig. 23(a) still requires n guards. This stems from the fact that the rooms of this polygon have been constructed in such a way so that the kernel of each room is the empty set (i.e., they are not star-shaped objects). However, we can guard each room with two guards, which can actually be chosen to be the endpoints of the locally convex arcs.

In fact the n vertices of a locally convex polygon are not only necessary (in the worst case), but also always sufficient. Consider a point q inside a locally convex polygon P and let ρ_q be an

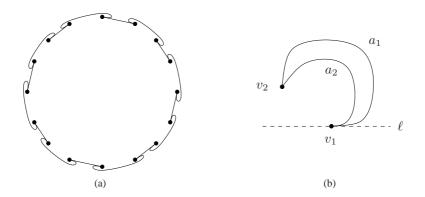


Figure 23: (a) A locally convex polygon with n vertices that requires n vertex or point guards in order to be guarded. (b) A non-convex polygon that cannot be guarded by vertex guards, and which requires an infinite number of point guards.

arbitrary ray emanating from q. Let w_q be the first point of intersection of ρ_q with the boundary of P as we walk on ρ_q away from q. If w_q is a vertex of P we are done: q is visible by one of the vertices of P. Otherwise, rotate ρ_q around q in the, say, counterclockwise direction, until the line segment qw_q hits a feature f of P (if multiple features of P are hit at the same time, consider the one closest to q along ρ_q). f cannot be a point in the interior of an edge of P since then P would have to be locally concave at f. Therefore, f has to be a vertex of P, i.e., q is guarded by f. We can thus state the following theorem:

Theorem 16 Let P be a locally convex polygon with $n \ge 2$ vertices. Then n vertex (the n vertices of P) or point guards are always sufficient and sometimes necessary in order to guard P.

Monotone locally convex polygons. As far as monotone locally convex polygons are concerned, it easy to see that $\lfloor \frac{n}{2} \rfloor + 1$ vertex or point guards are always sufficient. Let P be a locally convex polygon. As in the case of piecewise-convex polygons, assume without loss of generality that P is monotone with respect to the x-axis. Let u_1, \ldots, u_n be the vertices of P sorted with respect to their x-coordinate. To prove our sufficiency result, it suffices to consider the vertical decomposition of P into at most n+1 convex regions κ_i , $0 \le i \le n$. Corollary 12 remains valid. As a result, the vertex set $G = \{u_{2m-1}, 1 \le m \le \lfloor \frac{n}{2} \rfloor\} \cup \{u_n\}$ is a guarding set for P of size $\lfloor \frac{n}{2} \rfloor + 1$: every convex region κ_i , $1 \le i \le n-1$ is guarded by either u_i or u_{i+1} , since at least one of u_i , u_{i+1} is in G; moreover, u_1 and u_n guard κ_0 and κ_n , respectively. As in the case of piecewise-convex polygons, G can be computed in linear time and space.

In fact, the upper bound on the number of vertex/point guards for P just presented is also a worst case lower bound. Consider the locally convex polygons T_1 and T_2 of Fig. 24, each consisting of n vertices. T_1 has an odd number of vertices, while the number of vertices of T_2 is even. It is readily seen that both T_1 and T_2 need at least one point guard per prong (including the right-most prong

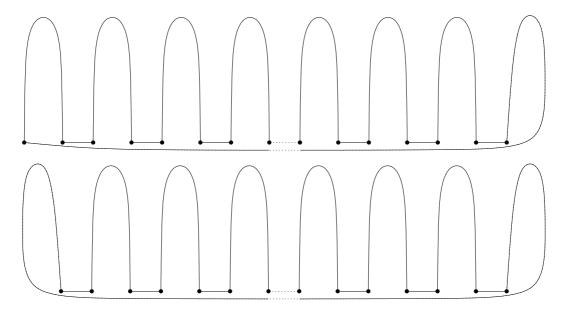


Figure 24: Two comb-like monotone locally convex polygons T_1 (top) and T_2 (bottom) with an odd and even number of vertices, respectively. Both polygons require $\lfloor \frac{n}{2} \rfloor + 1$ point guards in order to be guarded: one point guard is required per prong.

of T_1 and both the left-most and right-most prongs of T_2). Since the number of prongs in either T_1 or T_2 is $\lfloor \frac{n}{2} \rfloor + 1$, we conclude that T_1 and T_2 require at least $\lfloor \frac{n}{2} \rfloor + 1$ point guards in order to be guarded. Summarizing our results about monotone locally convex polygons:

Theorem 17 Given a monotone locally convex polygon P with $n \ge 2$ vertices, $\lfloor \frac{n}{2} \rfloor + 1$ vertex or point guards are always sufficient and sometimes necessary in order to guard P. Moreover, we can compute a vertex guarding set for P of size $\lfloor \frac{n}{2} \rfloor + 1$ in O(n) time and O(n) space.

Remark 3 The results presented in this section about locally convex polygons are in essence the same with known results on the number of reflex vertices required to guard linear polygons. In particular, it is known that if a linear polygon P has $r \ge 1$ reflex vertices, r vertex guards placed on these vertices are always sufficient and sometimes necessary in order to guard P [29], whereas if P is a monotone linear polygon, $\lfloor \frac{r}{2} \rfloor + 1$ among its r reflex vertices are always sufficient and sometimes necessary in order to guard P [1]. In our setting, the r reflex vertices of the linear polygon P are the r vertices of our locally convex polygons, and the locally convex polylines connecting the reflex vertices of P are our locally convex edges. Clearly, the analogy only refers to the combinatorial complexity of guarding sets, since for our algorithmic analysis we have assumed that the polygon edges have constant complexity.

In the context we have just described, i.e., seeing linear polygons as locally convex polygons the vertices of which are the reflex vertices of the linear polygons, it also possible to "translate" the results of Section 3 as follows:

Consider a linear polygon P with $r \ge 2$ reflex vertices. If P can be decomposed into $c \ge r$ convex polylines pointing towards the exterior of the polygon, then P can be guarded with at most $\lfloor \frac{2c}{3} \rfloor$ vertex guards.

The analogous "translation" for the results of Section 5 is as follows:

Consider a linear polygon P with n vertices, r of which are reflex. If P can be decomposed into $c \geq n-r$ convex polylines pointing towards the interior of the polygon, then P can be guarded with at most 2c-4 point guards.

General polygons. The class of general polygons poses difficulties. Consider the non-convex polygon N of Fig. 23(b), which consists of two vertices v_1 and v_2 and two convex arcs a_1 and a_2 . The two arcs are tangent to a common line ℓ at v_1 . It is readily visible that v_1 and v_2 cannot guard the interior of N. In fact, v_1 cannot guard any point of N other than itself. Even worse, any finite number of guards, placed anywhere in N, cannot guard the polygon. To see that, consider the vicinity of v_1 . Assume that N can be guarded by a finite number of guards, and let $g \neq v_1$ be the guard closest to v_1 with respect to shortest paths within N. Consider the line ℓ_g passing through g that is tangent to a_2 (among the two possible tangents we are interested in the one the point of tangency of which is closer to v_1). Let s_g be the sector of N delimited by a_1 , a_2 and ℓ_g . s_g cannot contain any guarding point, since such a vertex would be closer to v_1 than g. Since s_g is not guarded by v_1 , we conclude that s_g is not guarded at all, which contradicts our assumption that N is guarded by a finite set of guards.

7 Summary and future work

In this paper we have considered the problem of guarding a polygonal art gallery, the walls of which are allowed to be arcs of curves (our results are summarized in Table 1). We have demonstrated that if we allow these arcs to be locally convex arcs, n (vertex or point) guards are always sufficient and sometimes necessary. If these arcs are allowed to be non-convex, then an infinite number of guards may be required. In the case of piecewise-convex polygons with n vertices, we have shown that it is always possible to guard the polygon with $\lfloor \frac{2n}{3} \rfloor$ vertex guards, whereas $\lfloor \frac{4n}{7} \rfloor - 1$ vertex guards are sometimes necessary. Furthermore, we have described an $O(n \log n)$ time and O(n) space algorithm for computing a vertex guarding set of size at most $\lfloor \frac{2n}{3} \rfloor$. For piecewise-concave polygons, we have shown that 2n-4 point guards are always sufficient and sometimes necessary. Finally, in the special case of monotone piecewise-convex polygons, $\lfloor \frac{n}{2} \rfloor + 1$ vertex or $\lfloor \frac{n}{2} \rfloor$ point guards are always sufficient and sometimes necessary, whereas for monotone locally convex polygons $\lfloor \frac{n}{2} \rfloor + 1$ vertex or point guards are always sufficient and sometimes necessary.

Up to now we have not found a piecewise-convex polygon that requires more than $\lfloor \frac{4n}{7} \rfloor + O(1)$ vertex guards, nor have we devised a polynomial time algorithm for guarding a piecewise-convex

	Bounds by guard type			
Polygon type	Vertex		Point	
	Upper	Lower	Upper	Lower
Piecewise-convex	$\lfloor \frac{2n}{3} \rfloor$	$\lfloor \frac{4n}{7} \rfloor - 1$	$\lfloor \frac{2n}{3} \rfloor$	$\lfloor \frac{n}{2} \rfloor$
Monotone piecewise-convex	$\lfloor \frac{n}{2} \rfloor + 1$		$\lfloor \frac{n}{2} \rfloor$	
Locally convex	n			
Monotone locally convex	$\lfloor \frac{n}{2} \rfloor + 1$			
Piecewise-concave	NOT ALWAYS POSSIBLE $2n-4$		- 4	
General	NOT AL	WAYS POSSIBLE	∞	

Table 1: The results in this paper: worst case upper and lower bounds on the number of vertex or point guards needed in order to guard different types of curvilinear polygons.

polygon with less than $\lfloor \frac{2n}{3} \rfloor$ vertex guards. Closing the gap between then two complexities remains an open problem. Another open problem is the worst case maximum number of point guards required to guard a piecewise-convex polygon. In this case our lower bound construction fails, since it is possible to guard the corresponding polygon with $\lfloor \frac{3n}{7} \rfloor + O(1)$ point guards. On the other hand, the comb-like polygon shown in Fig. 20, requires $\lfloor \frac{n}{2} \rfloor$ point guards. Clearly, our algorithm that computes a guarding set of at most $\lfloor \frac{2n}{3} \rfloor$ vertex guards is still applicable.

Other types of guarding problems have been studied in the literature, which either differ on the type of guards (e.g., edge or mobile guards), the topology of the polygons considered (e.g., polygons with holes) or the guarding model (e.g., the fortress problem or the prison yard problem, mentioned in Section 1); see the book by O'Rourke [29], the survey paper by Shermer [31] of the book chapter by Urrutia [34] for an extensive list of the variations of the art gallery problem with respect to the types of guards or the guarding model. It would be interesting to extend these results to the families of curvilinear polygons presented in this paper.

Last but not least, in the case of general polygons, is it possible to devise an algorithm for computing a guarding set of finite cardinality, if the polygon does not contain cusp-like configurations such as the one in Fig. 23(b)?

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Unité de recherche INRIA Lorraine LORIA, Technopôle de Nancy-Brabois - Campus scientifique 615, rue du Jardin Botanique - BP 101 - 54602 Villers-lès-Nancy Cedex (France)

Unité de recherche INRIA Futurs : Parc Club Orsay Université - ZAC des Vignes
4, rue Jacques Monod - 91893 ORSAY Cedex (France)
Unité de recherche INRIA Rennes : IRISA, Campus universitaire de Beaulieu - 35042 Rennes Cedex (France)
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Unité de recherche INRIA Sophia Antipolis : 2004, route des Lucioles - BP 93 - 06902 Sophia Antipolis Cedex (France)