



Correction to: Sharp Trace Hardy–Sobolev–Maz’ya Inequalities and the Fractional Laplacian

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Communicated by F. LIN

Correction to: Arch. Rational Mech. Anal. 208 (2013) 109–161
<https://doi.org/10.1007/s00205-012-0594-4>

We became aware of a gap in the proof of Theorems 2(iii) and 6(ii) of [1] in the case where $a = 1 - 2s \in (0, 1)$. We thank Arka Mallick for bringing this to our attention. We used there an L^1 weighted trace Sobolev inequality, namely the displayed formula below relation (5.10) in page 143, which is valid for $a \in (-1, 0]$. We provide a proof for the case $a \in (0, 1)$ using instead the following weighted trace inequality:

Theorem 1. *Let $a \in (0, 1)$ and $1 + a < p < n + 1$. Then, there exists a positive constant c such that for all $u \in C_0^\infty(\mathbf{R}^n \times \mathbf{R})$ with $u(x, 0) = 0$, $x \in \mathbf{R}_+^n$,*

$$\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^a |\nabla u|^p dx dy \geq c \left(\int_{\mathbf{R}_+^n} |u(x, 0)|^{\frac{pn}{n+1+a-p}} dx \right)^{\frac{n+1+a-p}{n}}.$$

Proof. We start with the standard trace inequality

$$\int_{\mathbf{R}_+^n} |u(x, 0)| dx \leq \int_0^{+\infty} \int_{\mathbf{R}_+^n} |\nabla u| dx dy.$$

For $q := \frac{pn}{n+1+a-p} > p$, we have

$$\begin{aligned} \int_{\mathbf{R}_+^n} |u(x, 0)|^q dx &\leq q \int_0^{+\infty} \int_{\mathbf{R}_+^n} |u|^{q-1} |\nabla u| dx dy \\ &= q \int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{a}{p}} |\nabla u| y^{-\frac{a}{p}} |u|^{q-1} dx dy \\ &\leq q \left(\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^a |\nabla u|^p dx dy \right)^{\frac{1}{p}} \\ &\quad \left(\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{-\frac{a}{p-1}} |u|^{\frac{(q-1)p}{p-1}} dx dy \right)^{\frac{p-1}{p}}. \end{aligned}$$

The result then follows using the Sobolev inequality of Corollary 2, page 139 of [2]. \square

We will also use the following variant of Lemma 11 of [1]:

Lemma 1. *Let $A > 0, B + 1 > 0$ and $A + B + 2 > 2\Gamma > 0$. Then, there exists a positive constant c such that for all $v \in C_0^\infty(\mathbf{R}^n \times \mathbf{R})$ the following inequality holds true:*

$$c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{A-1} x_n^B}{(x_n^2 + y^2)^{\Gamma-\frac{1}{2}}} |v| dx dy \leq \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^A x_n^{1+B}}{(x_n^2 + y^2)^\Gamma} |\nabla v| dx dy. \tag{1}$$

The same result holds true if we replace \mathbf{R}_+^n by \mathbf{R}_-^n with $|x_n|$ in place of x_n .

Proof. Using polar coordinates and the fact that, for $\theta \in (0, \frac{\pi}{2})$,

$$A(\sin \theta)^{A-1} (\cos \theta)^B = (1 + A + B)(\sin \theta)^{A+1} (\cos \theta)^B + \frac{d}{d\theta} ((\sin \theta)^A (\cos \theta)^{1+B}),$$

we get

$$\begin{aligned} A \int_0^{\frac{\pi}{2}} (\sin \theta)^{A-1} (\cos \theta)^B |v| d\theta &\leq (1 + A + B) \int_0^{\frac{\pi}{2}} (\sin \theta)^{1+A} (\cos \theta)^B |v| d\theta \\ &\quad + \int_0^{\frac{\pi}{2}} (\sin \theta)^A (\cos \theta)^{1+B} |v_\theta| d\theta. \end{aligned} \tag{2}$$

We next multiply (2) by $r^{A+B+1-2\Gamma}$ and then integrate over $(0, \infty)$ to get

$$\begin{aligned} A \int_0^{+\infty} \int_0^{+\infty} \frac{y^{A-1} x_n^B}{(x_n^2 + y^2)^{\Gamma-\frac{1}{2}}} |v| dx_n dy &\leq (1 + A + B) \int_0^{+\infty} \int_0^{+\infty} \frac{y^{1+A} x_n^B}{(x_n^2 + y^2)^{\Gamma+\frac{1}{2}}} |v| dx_n dy \\ &\quad + \int_0^{+\infty} \int_0^{+\infty} \frac{y^A x_n^{1+B}}{(x_n^2 + y^2)^\Gamma} |\nabla v| dx_n dy. \end{aligned}$$

We conclude as in the proof of Lemma 11 of [1]. \square

Using the previous lemma with $|v|^p$ in place of $|v|$ we easily get

Lemma 2. *Let $A > 0$, $B + 1 > 0$, $A + B + 2 > 2\Gamma > 0$ and $p \geq 1$. Then, there exists a positive constant c such that for all $v \in C_0^\infty(\mathbf{R}^n \times \mathbf{R})$ the following inequality holds true:*

$$\int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{A+p-1} x_n^{p+B}}{(x_n^2 + y^2)^{\Gamma + \frac{p-1}{2}}} |\nabla v|^p dx dy \geq c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{A-1} x_n^B}{(x_n^2 + y^2)^{\Gamma - \frac{1}{2}}} |v|^p dx dy.$$

The same result holds true if we replace \mathbf{R}_+^n by \mathbf{R}_-^n with $|x_n|$ in place of x_n .

We are now ready to give the proof of Theorem 2 part (iii) in case $a \in (0, 1)$.

Proof of Theorem 2(iii). Our aim is to establish

$$\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^a \phi^2 |\nabla w|^2 dx dy \geq c \left(\int_{\mathbf{R}_+^n} |(\phi w)(x, 0)|^{\frac{2n}{n+a-1}} dx \right)^{\frac{n+a-1}{n}}, \quad (3)$$

where ϕ is given by Lemma 2 of [1]. We recall that $\phi(x, 0) = 1$, $x \in \mathbf{R}_+^n$.

For $a \in (0, 1)$ and p such that

$$1 + \frac{ap}{2} < p < 2 \quad \Leftrightarrow \quad \frac{2}{2-a} < p < 2,$$

Theorem 1 gives

$$\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{ap}{2}} |\nabla u|^p dx dy \geq c \left(\int_{\mathbf{R}_+^n} |u(x, 0)|^Q dx \right)^{\frac{p}{Q}}, \quad (4)$$

with

$$Q = \frac{2pn}{2(n+1) - p(2-a)} > p.$$

We apply (4) to $u = \phi^\theta v$, with

$$\theta = 1 + \frac{(2-p)(n+1)}{p(n+a-1)} > 1,$$

to obtain

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{ap}{2}} \phi^{\theta p} |\nabla v|^p dx dy + \theta^p \int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{ap}{2}} |\nabla \phi|^p \phi^{(\theta-1)p} |v|^p dx dy \\ & \geq c \left(\int_{\mathbf{R}_+^n} |v(x, 0)|^Q dx \right)^{\frac{p}{Q}}. \end{aligned} \quad (5)$$

We next show that in the above inequality the second term of the left-hand side is controlled by the first one. Using the asymptotics of ϕ from Lemma 2 of [1] this is equivalent to

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{\frac{ap}{2}} x_n^{\theta p}}{(x_n^2 + y^2)^{\frac{(2+a)\theta p}{4}}} |\nabla v|^p dx dy \\ & \geq c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{-\frac{ap}{2}} x_n^{(\theta-1)p}}{(x_n^2 + y^2)^\sigma} |v|^p dx dy, \end{aligned} \tag{6}$$

with $\sigma = \frac{(2-a)p}{4} + \frac{(2+a)(\theta-1)p}{4}$. To prove this we apply Lemma 2 with $A = 1 - \frac{ap}{2} > 0$, $B = (\theta - 1)p$, and $\Gamma = \frac{1}{2} + \sigma = \frac{1}{2} + \frac{(2-a)p}{4} + \frac{(2+a)(\theta-1)p}{4}$, noting that

$$A + B + 2 - 2\Gamma = \frac{(2 - p)(2 - a)(n - 1)}{2(n + a - 1)} > 0.$$

We thus get

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{\frac{ap}{2} + p(1-a)} x_n^{\theta p}}{(x_n^2 + y^2)^{\frac{p}{2}(1-a) + \frac{2+a}{4}\theta p}} |\nabla v|^p dx dy \\ & \geq c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{-\frac{ap}{2}} x_n^{(\theta-1)p}}{(x_n^2 + y^2)^\sigma} |v|^p dx dy, \end{aligned}$$

which implies (6), since $\frac{y}{(y^2+x_n^2)^{1/2}} < 1$. From (5) and (6) we have

$$\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{ap}{2}} \phi^{\theta p} |\nabla v|^p dx dy \geq c \left(\int_{\mathbf{R}_+^n} |v(x, 0)|^Q dx \right)^{\frac{p}{Q}}. \tag{7}$$

We set $v = |w|^\theta$, we note that $\theta Q = \frac{2n}{n+a-1}$, and then apply Hölder’s inequality to get

$$\begin{aligned} c \left(\int_{\mathbf{R}_+^n} |w(x, 0)|^{\frac{2n}{n+a-1}} dx \right)^{\frac{p}{Q}} & \leq \int_0^{+\infty} \int_{\mathbf{R}_+^n} y^{\frac{ap}{2}} \phi^p |\nabla w|^p (\phi|w|)^{p(\theta-1)} dx dy \\ & \leq \left(\int_0^{+\infty} \int_{\mathbf{R}_+^n} y^a \phi^2 |\nabla w|^2 dx dy \right)^{\frac{p}{2}} \\ & \quad \left(\int_0^{+\infty} \int_{\mathbf{R}_+^n} (\phi|w|)^{\frac{2(n+1)}{n+a-1}} dx dy \right)^{\frac{2-p}{2}}. \end{aligned}$$

We conclude using the Sobolev inequality (5.10) of [1]. \square

Similarly, we have

Proof of Theorem 6(ii). This time our aim is to establish

$$\int_0^{+\infty} \int_{\mathbf{R}^n} y^a \phi^2 |\nabla w|^2 dx dy \geq c \left(\int_{\mathbf{R}_+^n} |w(x, 0)|^{\frac{2n}{n+a-1}} dx \right)^{\frac{n+a-1}{n}}, \quad (8)$$

where ϕ is now given by Lemma 4 of [1]. Working as in the previous proof with the same choices of θ , p and Q we arrive at the analogue of (5), which is

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}^n} y^{\frac{ap}{2}} \phi^{\theta p} |\nabla v|^p dx dy + \theta^p \int_0^{+\infty} \int_{\mathbf{R}^n} y^{\frac{ap}{2}} |\nabla \phi|^p \phi^{(\theta-1)p} |v|^p dx dy \\ & \geq c \left(\int_{\mathbf{R}_+^n} |v(x, 0)|^Q dx \right)^{\frac{p}{Q}}. \end{aligned} \quad (9)$$

We again need to control the second term of the left-hand side by the first one. To establish this we split the integrals over \mathbf{R}_+^n and \mathbf{R}_-^n . Using the asymptotics of ϕ from Lemma 4 of [1], the required inequality on \mathbf{R}_+^n reads

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{\frac{ap}{2}}}{(x_n^2 + y^2)^{\frac{a\theta p}{4}}} |\nabla v|^p dx dy \\ & \geq c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{-\frac{ap}{2}}}{(x_n^2 + y^2)^\sigma} |v|^p dx dy, \end{aligned} \quad (10)$$

with $\sigma = \frac{(2-a)p}{4} + \frac{a(\theta-1)p}{4}$. To prove this we apply Lemma 2 with $A = 1 - \frac{ap}{2} > 0$, $B = 0$, and $\Gamma = \frac{1}{2} + \sigma = \frac{1}{2} + \frac{(2-a)p}{4} + \frac{a(\theta-1)p}{4}$, noting that

$$A + B + 2 - 2\Gamma = \frac{(2-p)(2-a)(n-1)}{2(n+a-1)} > 0.$$

We thus get

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{\frac{ap}{2} + p(1-a)} x_n^p}{(x_n^2 + y^2)^{\frac{p}{2}(1-a) + \frac{p}{2} + \frac{a\theta p}{4}}} |\nabla v|^p dx dy \\ & \geq c \int_0^{+\infty} \int_{\mathbf{R}_+^n} \frac{y^{-\frac{ap}{2}}}{(x_n^2 + y^2)^\sigma} |v|^p dx dy, \end{aligned}$$

which implies (10).

The required inequality over \mathbf{R}_-^n is equivalent to

$$\begin{aligned} & \int_0^{+\infty} \int_{\mathbf{R}_-^n} \frac{y^{\frac{ap}{2} + (1-a)\theta p}}{(x_n^2 + y^2)^{\frac{(2-a)\theta p}{4}}} |\nabla v|^p dx dy \\ & \geq c \int_0^{+\infty} \int_{\mathbf{R}_-^n} \frac{y^{-\frac{ap}{2} + (1-a)(\theta-1)p}}{(x_n^2 + y^2)^{\frac{(2-a)\theta p}{4}}} |v|^p dx dy. \end{aligned}$$

This is proved once again by applying Lemma 2 with $A = 1 - \frac{ap}{2} + (1-a)(\theta-1)p > 0$, $B = 0$, and $\Gamma = \frac{1}{2} + \frac{(2-a)\theta p}{4}$, noting that

$$A + B + 2 - 2\Gamma = \frac{(2-p)(2-a)(n-1)}{2(n+a-1)} > 0.$$

To conclude we argue as in the previous case of the Proof of Theorem 2(iii). We omit further details. \square

References

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(Received December 6, 2017 / Accepted May 19, 2018)

Published online June 8, 2018

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